



The atmospheric prompt neutrino flux revisited

Luca Rottoli

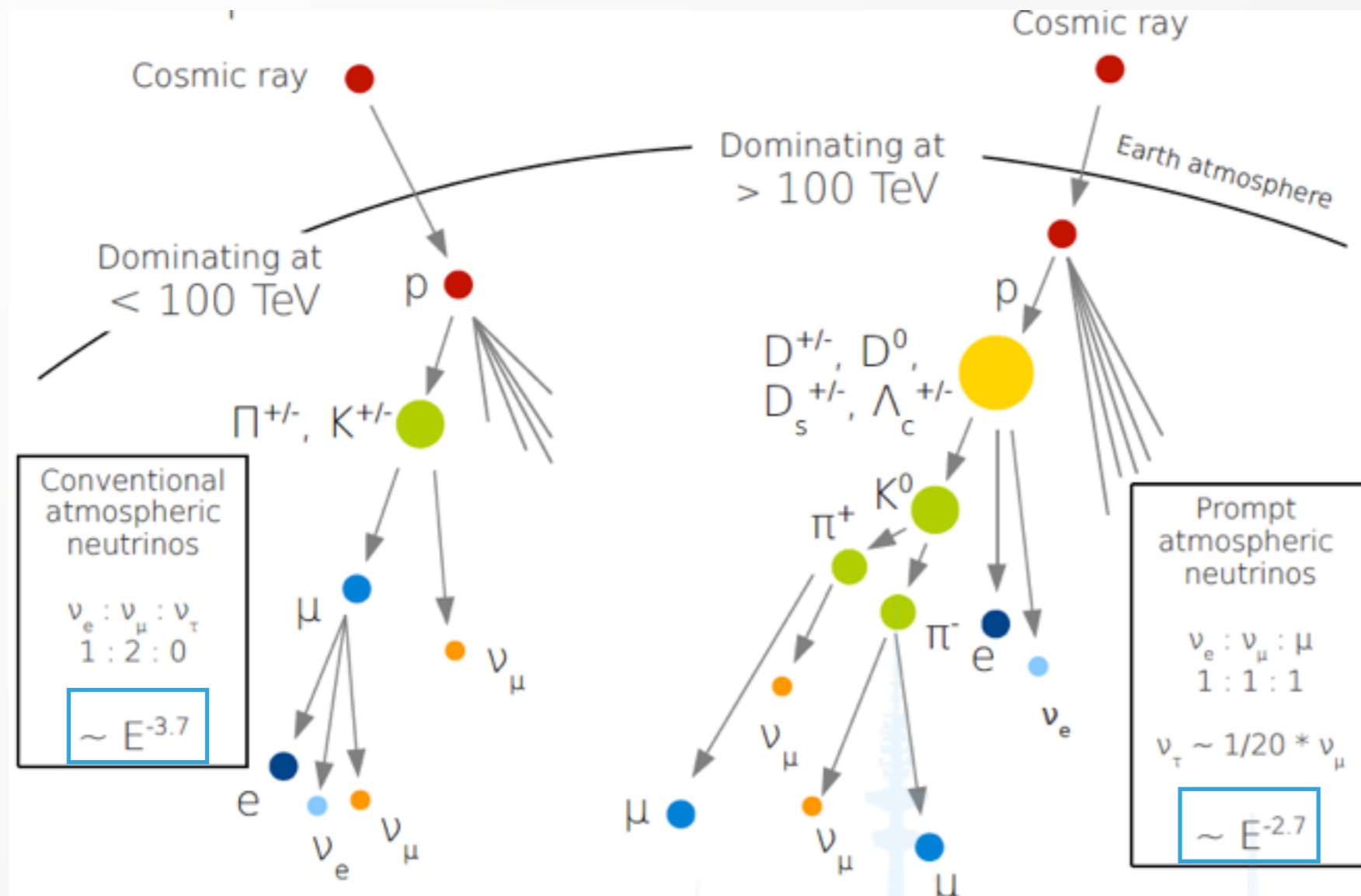
Rudolf Peierls Centre for Theoretical Physics, University of Oxford



Based on: [arXiv 1506.08025](https://arxiv.org/abs/1506.08025), R. Gauld, J. Rojo, LR, J. Talbert
[arXiv 1511.06346](https://arxiv.org/abs/1511.06346), R. Gauld, J. Rojo, LR, S. Sarkar, J. Talbert

Prompt vs. conventional flux

The energy spectrum from semi-leptonic decay products depends on a hadronic **critical energy**, *below* which the **decay probability** is $>$ **interaction probability**



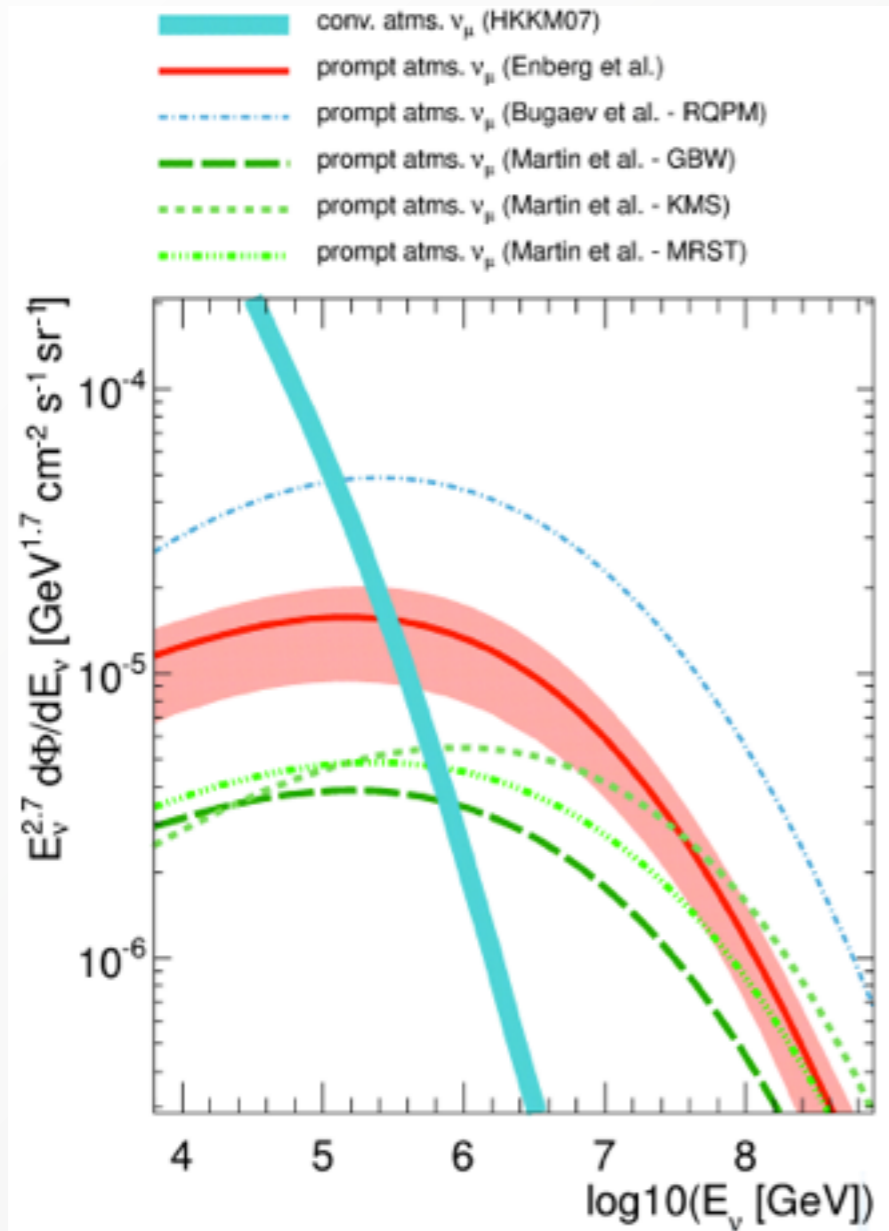
For **pions & kaons**, this critical energy is low (decay length is long) hence the leptonic energy spectrum is soft. For **charmed mesons**, the critical energy is high: they **decay promptly** to highly energetic leptons

Courtesy: Anne Schukraft

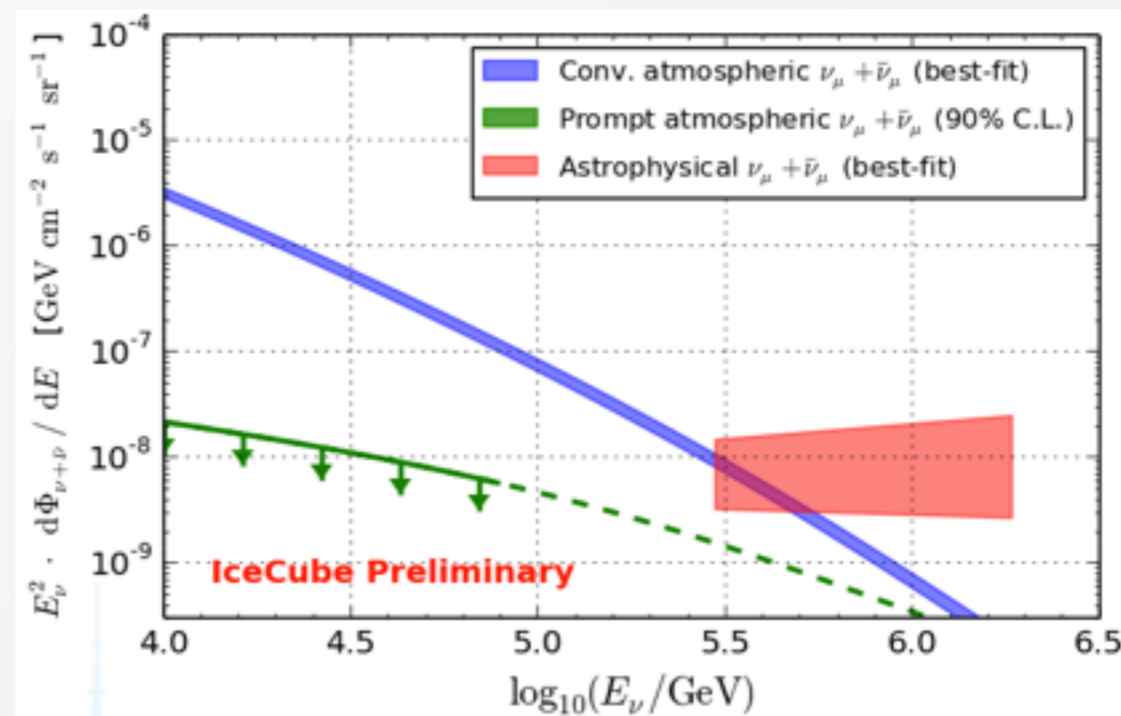
The atmospheric neutrino flux from the decay of pions & kaons is the **conventional flux**, whereas that from charm decay is called the **prompt flux**

Where are the prompt neutrinos?

The flux of prompt neutrinos is harder than that of conventional neutrinos, and was predicted to dominate the total atmospheric flux at energies above $\sim 10^{5-6}$ GeV



No prompt flux seen so far, but an astrophysical signal with similar spectrum has been discovered **Astrophysical neutrinos**



Recent data put an **upper limit** on the prompt flux above 1 TeV, which is *less than* ~ 1.5 x the benchmark ERS 2008 calculation [arXiv 0806.0418](https://arxiv.org/abs/0806.0418)

Even stronger limit of $0.54 \times \text{ERS}$ @ 90% C.L. from combined IC59 + IC79 + IC86 data

(Sebastian Schonen, IPA 2015)

Cascade Formalism

1. $\frac{d\phi_p}{dX} = -\frac{\phi_p}{\lambda_p} + Z_{pp} \frac{\phi_p}{\lambda_p}$
2. $\frac{d\phi_h}{dX} = -\frac{\phi_h}{\rho d_h(E)} - \frac{\phi_h}{\lambda_h} + Z_{hh} \frac{\phi_h}{\lambda_h} + Z_{ph} \frac{\phi_p}{\lambda_p}$
3. $\frac{d\phi_l}{dX} = \sum_h Z_{h \rightarrow l} \frac{\phi_h}{\rho d_h}$

Full series of **cascade equations**,
from incoming cosmic ray nucleons to
final state leptons

Asymptotic solutions

$$\phi_l|_{low} = \phi_p(E) Z_{h \rightarrow l}^{low} \frac{Z_{ph}}{(1 - Z_{pp})}$$

$$\phi_l|_{high} = \frac{Z_{h \rightarrow l} \epsilon_h}{E} \frac{Z_{ph} \phi_p(E)}{(1 - Z_{pp})(1 - \frac{\Lambda_p}{\Lambda_h})} \ln \frac{\Lambda_h}{\Lambda_p}$$

Geometric Interpolation

$$\phi_l = \sum_h \frac{\phi_l^{low} \phi_l^{high}}{\phi_l^{low} + \phi_l^{high}}$$

Our final flux includes all (interpolated) contributions from **charmed hadrons**

Cascade Formalism: Z-moments

For particle **production**:

$$Z_{kh} = \int_E^\infty dE' \frac{\phi_k(E', X, \theta)}{\phi_k(E, X, \theta)} \frac{\lambda_k(E)}{\lambda_k(E')} \frac{dn(kA \rightarrow hY; E', E)}{dE} \quad \frac{dn(pA \rightarrow hY; E', E)}{dE} = \frac{1}{\sigma_{pA}(E')} \frac{d\sigma(pA \rightarrow hY; E', E)}{dE}$$

For particle **decay**:

$$Z_{h \rightarrow l} = \int_E^\infty dE' \frac{\phi_h(E', X)}{\phi_h(E, X)} \frac{d_h(E)}{d_h(E')} \frac{dn(h \rightarrow lY; E', E)}{dE} \quad \frac{dn(h \rightarrow lY; E', E)}{dE} = \frac{1}{\Gamma} \frac{d\Gamma}{dE}$$

Calculating the prompt flux of atmospheric neutrinos requires a synthesis of **QCD**, **atmospheric physics**, and **neutrino physics**

Incident Cosmic Ray Fluxes: $\phi_N^0(E)$

Cosmic ray spectrum constrained \sim up to 10^5 GeV by balloon and space experiments, e.g. **AMS** and **CREAM**

Higher energies rely on air shower arrays, e.g. **Kascade, Auger & TA**... many uncertainties regarding CR composition

Broken-Power-Law (BPL)

$$\phi_N^0(E) = \begin{cases} 1.7 E^{-2.7} & \text{for } E < 5 \times 10^6 \text{ GeV} \\ 174 E^{-3} & \text{for } E > 5 \times 10^6 \text{ GeV} \end{cases}$$

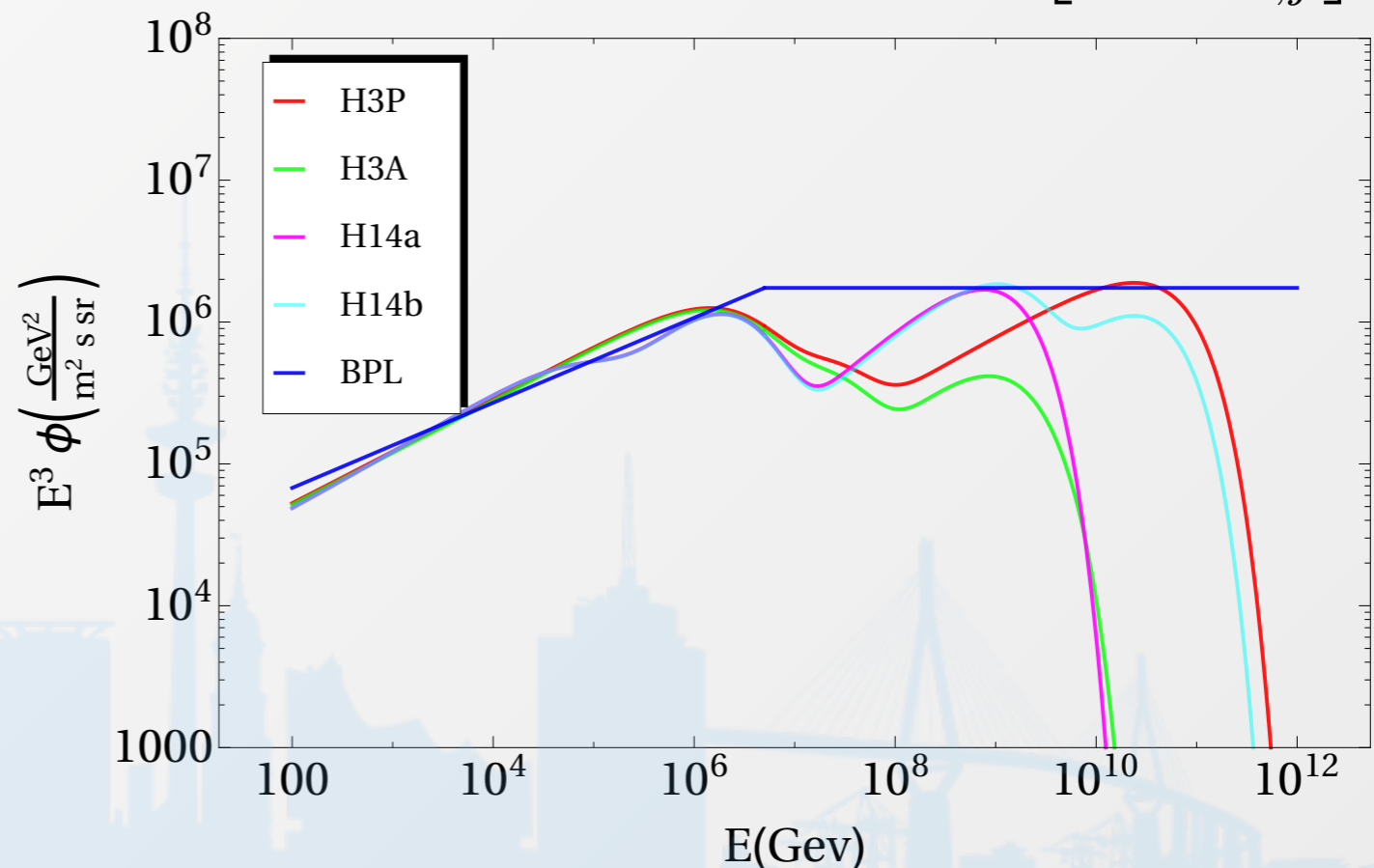
Gaisser et al. fluxes:

[arXiv:astro-ph/1111.6675](https://arxiv.org/abs/1111.6675)

[arXiv:astro-ph/1303.3565](https://arxiv.org/abs/1303.3565)

The effect of the new parametrizations is **significant above** $\sim 10^6$ GeV, and we are interested in making predictions up to $\sim 10^8$ GeV...

$$\phi_i(E) = \sum_{j=1}^3 a_{i,j} E^{-\gamma_{i,j}} \times \exp\left[-\frac{E}{Z_i R_{c,j}}\right]$$



The QCD input: Z_{ph}

$$Z_{ph} = \int_E^\infty dE' \frac{\phi_p(E')}{\phi_p(E)} \frac{A}{\sigma_{pA}(E)} \frac{d\sigma(pp \rightarrow c\bar{c}Y; E', E)}{dE}$$

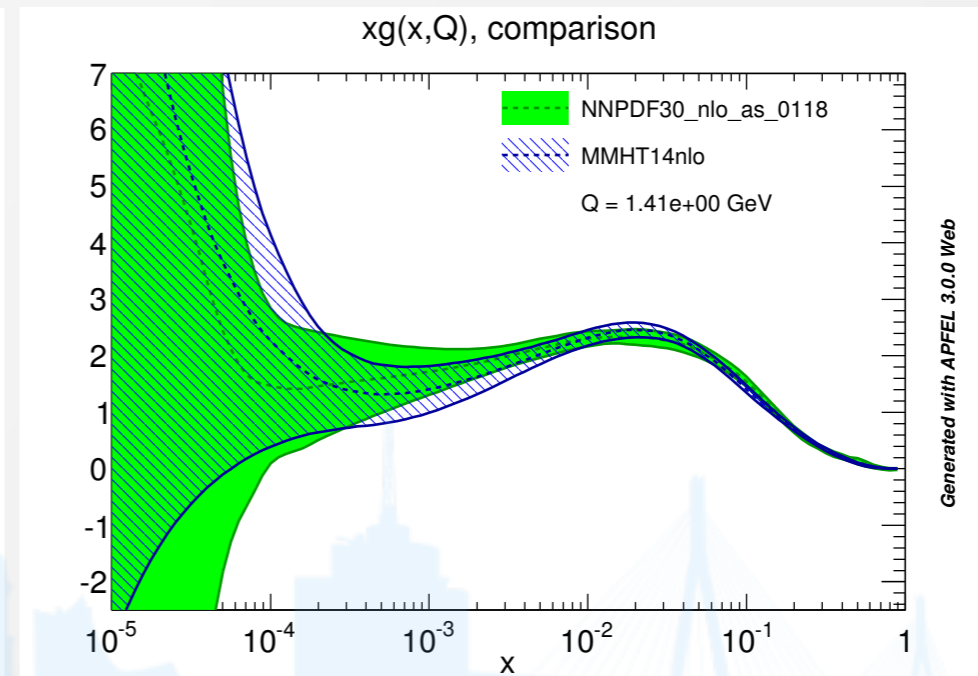
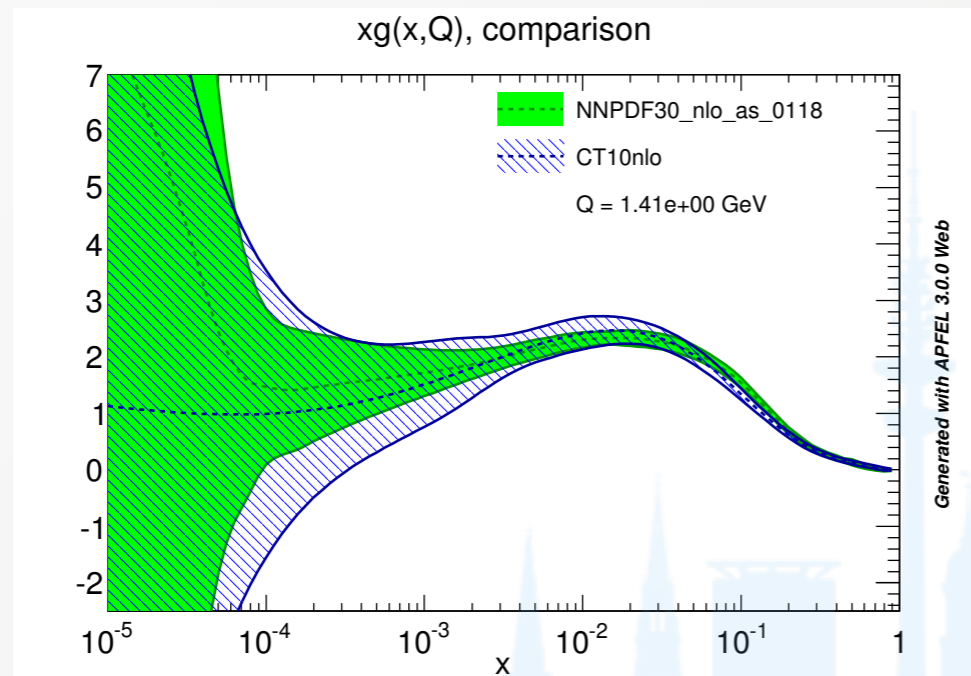
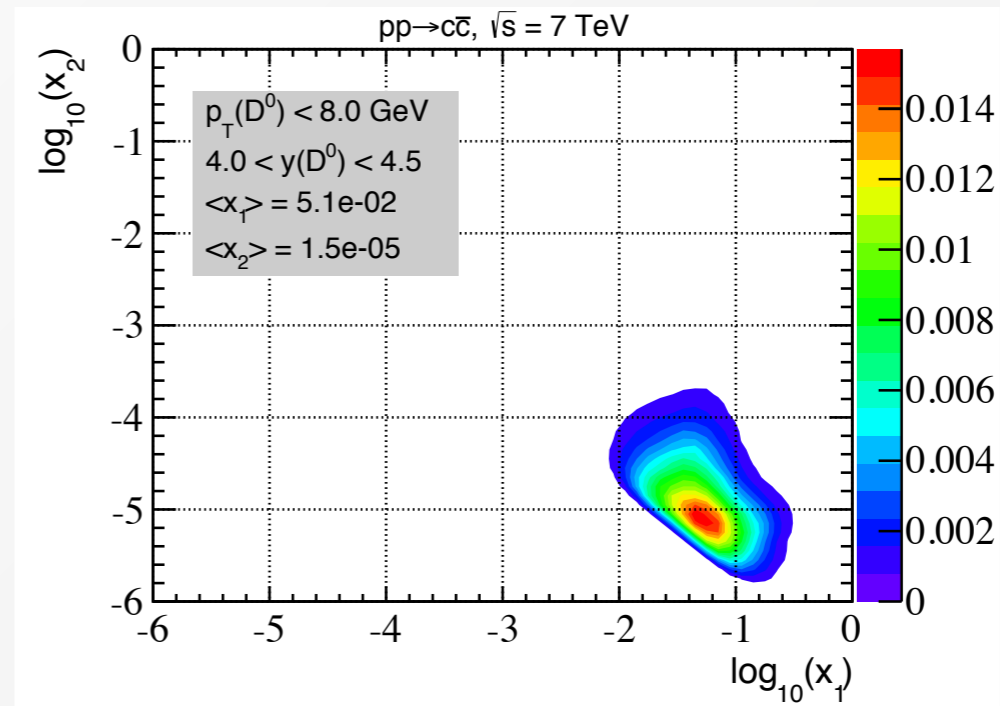
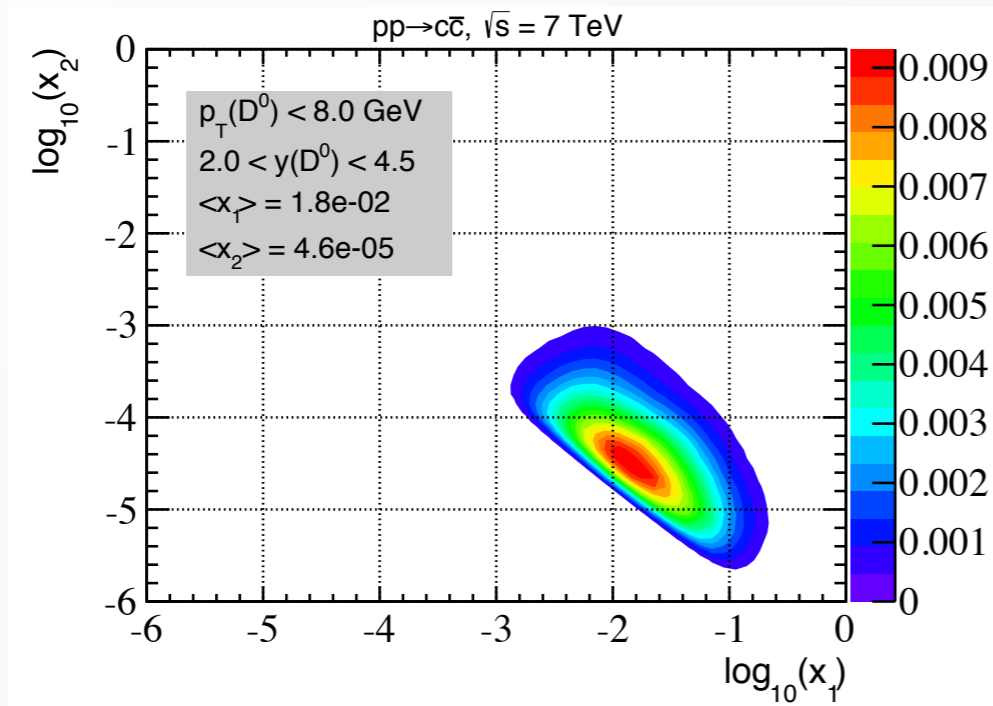
- The **differential cross-section** can be calculated in a variety of formalisms, e.g. the colour dipole model of ERS which is empirical (hard to estimate uncertainties)
- However, there is no evidence that **perturbative QCD** (with DGLAP evolution) cannot describe charm production data for the entire kinematic region of interest, hence our calculation is performed with **NLO+PS Monte-Carlo event generators**
- Boosting from CM to the rest frame of the (atmospheric) fixed target, one finds:

$$\sqrt{s} = 7 [TeV] \longleftrightarrow E_b = 2.6 \times 10^7 [GeV]$$

- Thus there is **complementarity with LHC physics**. We will predict the prompt neutrino flux at energies up to **10^8 GeV** ... at these energies, the charm production cross section is dominated by **gluon fusion**, hence we are sensitive to the behaviour of the gluon PDF (parton distribution function) **at small- x**

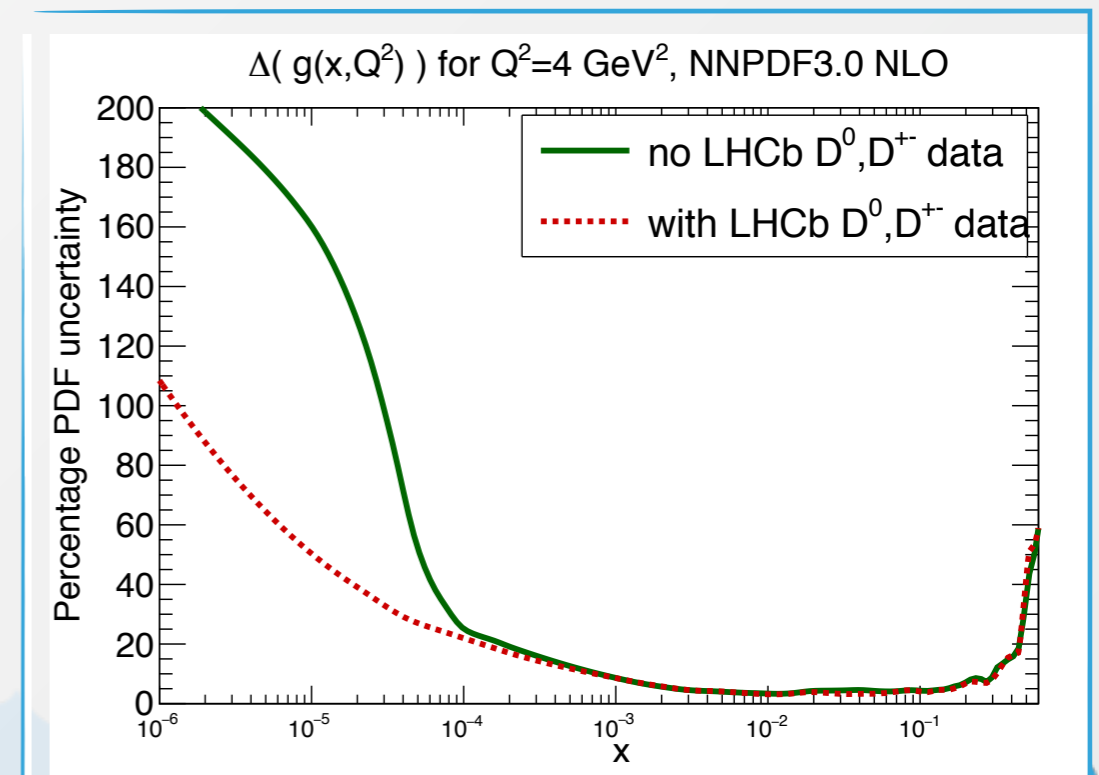
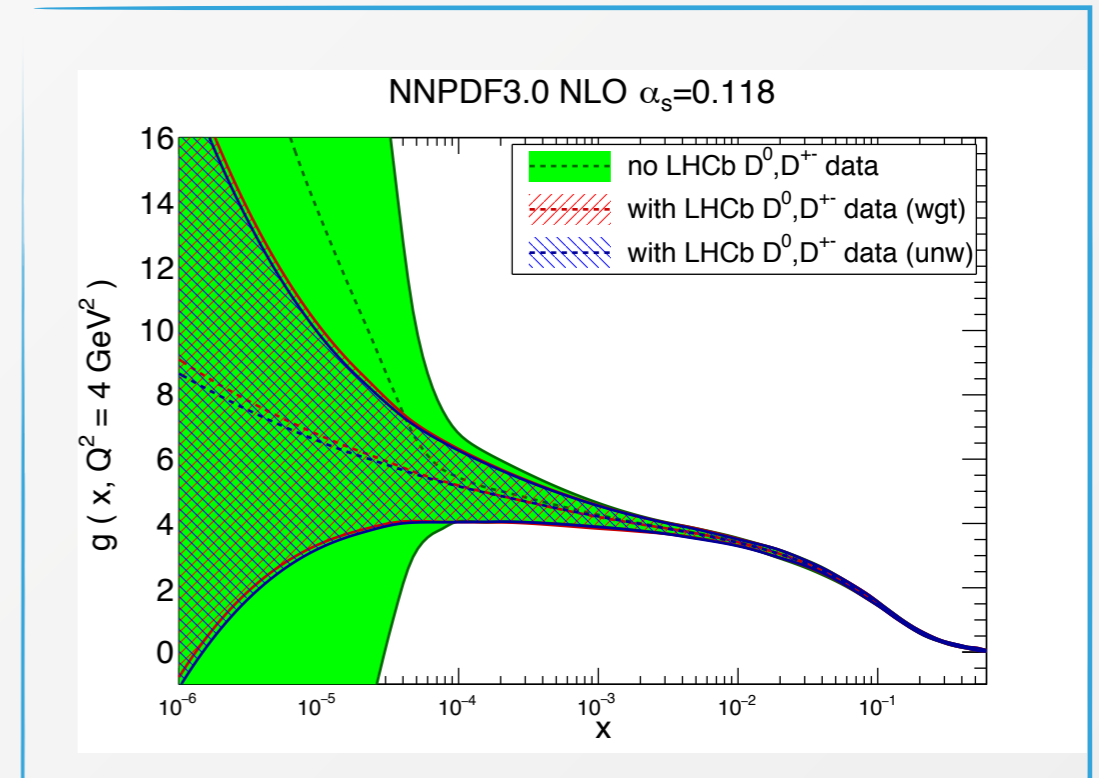
Gluon PDF Sensitivities

arXiv: 1506.08025



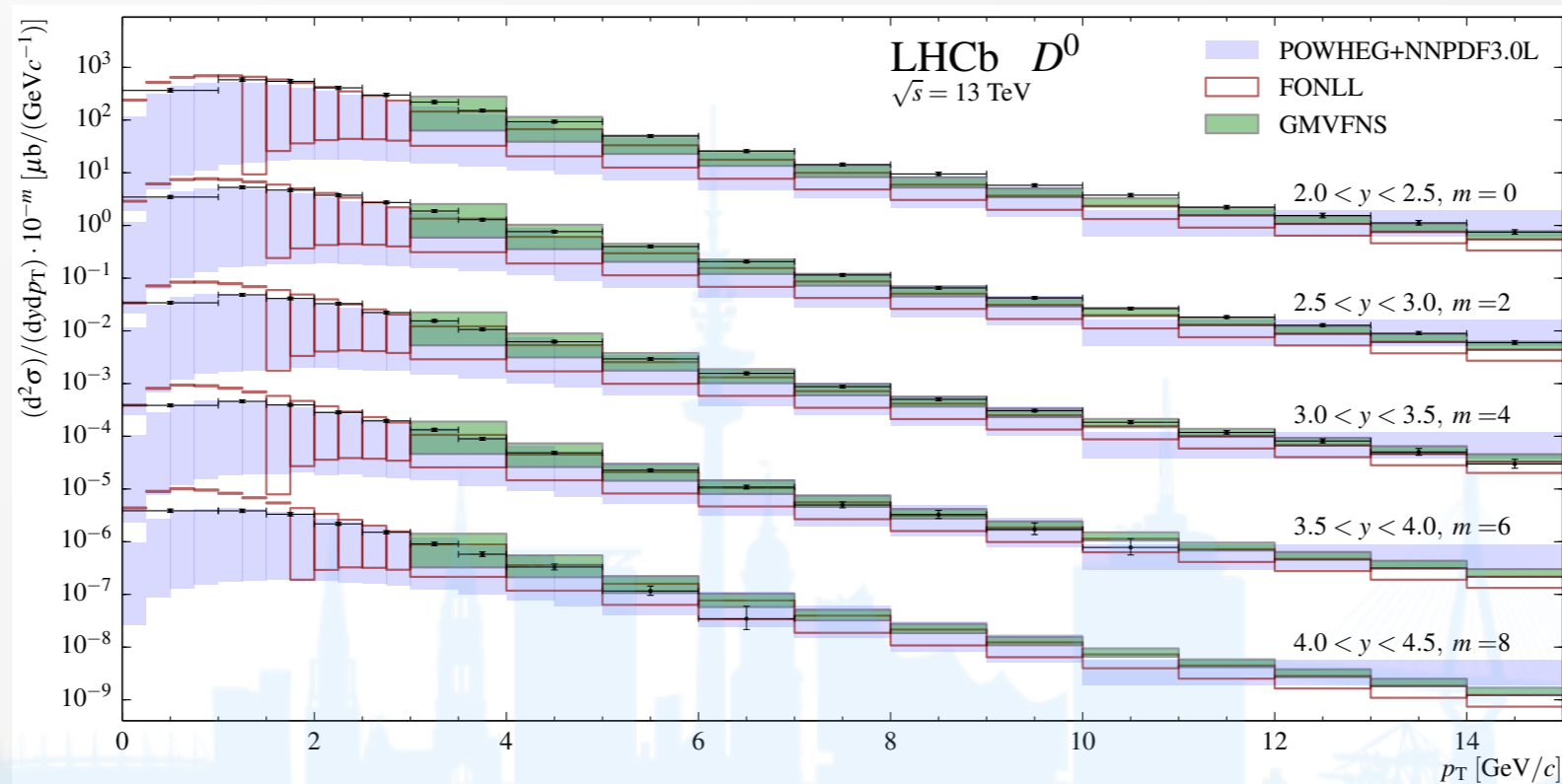
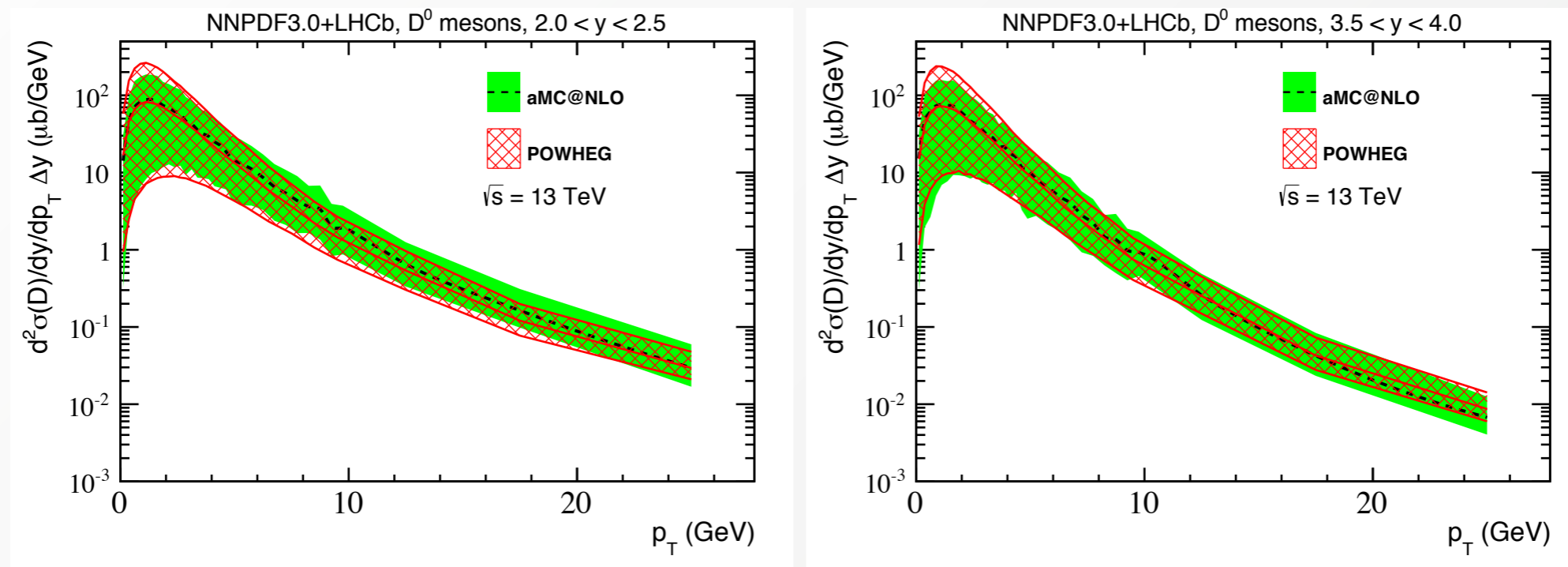
Small- x Gluon NNPDF: LHCb constraints

- We utilize charm production data from LHCb to **reduce the uncertainties in the small- x gluon PDF**
- Similar strategy as the one used by the **PROSA** collaboration in the HERAFitter framework [arXiv: 1503.04581](https://arxiv.org/abs/1503.04581)
- By using a **Bayesian re-weighting technique**, the impact of the new data is estimated. 75 data points added to NNPDF3.0 analysis
- The impact is negligible for $x > 10^{-4}$, but substantive in the small- x region where data was previously unavailable. At $x \sim 10^{-5}$, we achieve a **3x reduction in uncertainty**
- We utilize these improved PDFs to make **predictions for 13 TeV physics**



Predictions and validation with LHC data

Due to the improved NNPDF3.0+LHCb, the PDF errors are moderate even @ 13 TeV



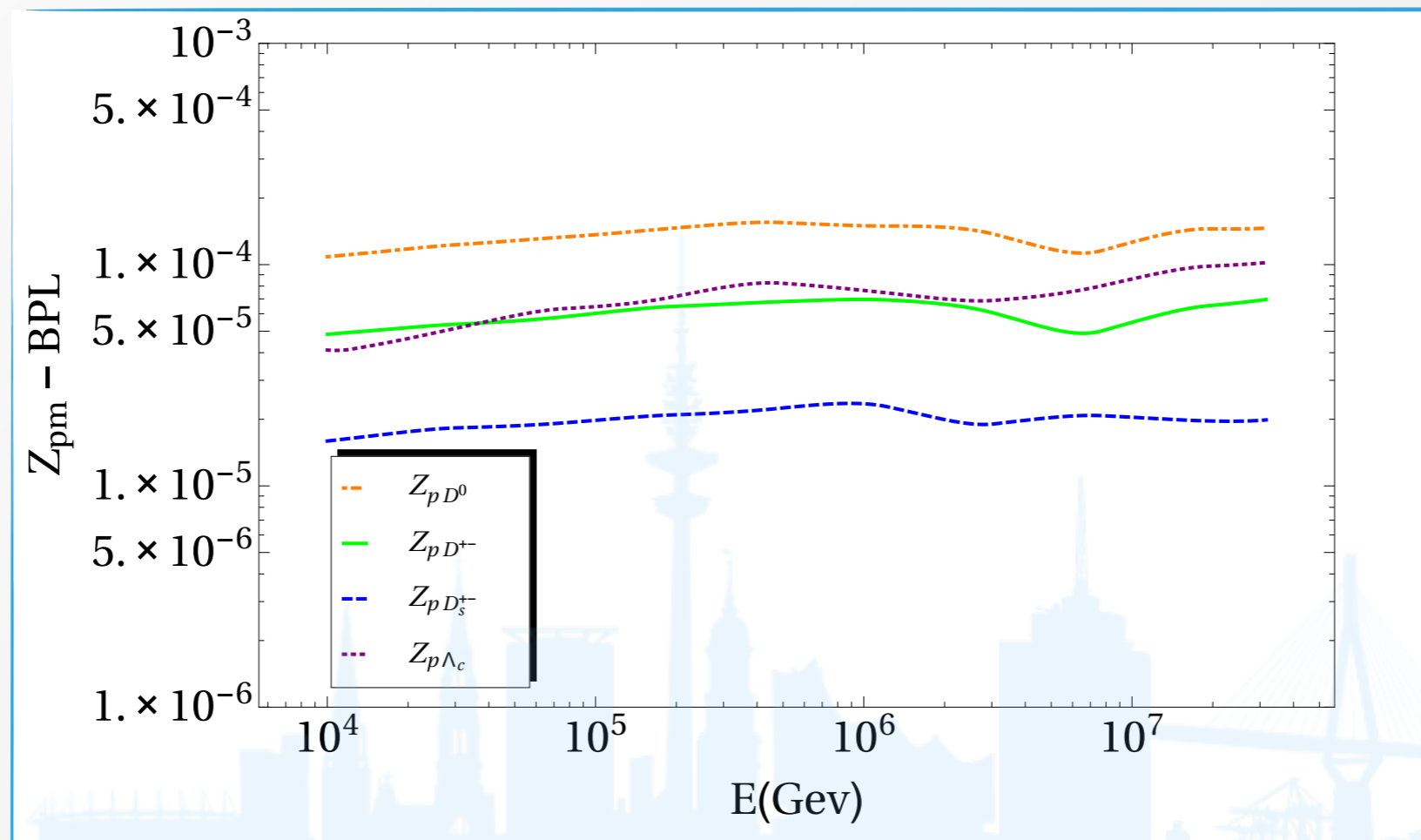
arXiv.org 1510.01707

Z_{ph} with NNPDF3.0+LHCb

$$Z_{ph} = \int_E^\infty dE' \frac{\phi_p(E')}{\phi_p(E)} \frac{A}{\sigma_{pA}(E)} \frac{d\sigma(pp \rightarrow c\bar{c}Y; E', E)}{dE}$$

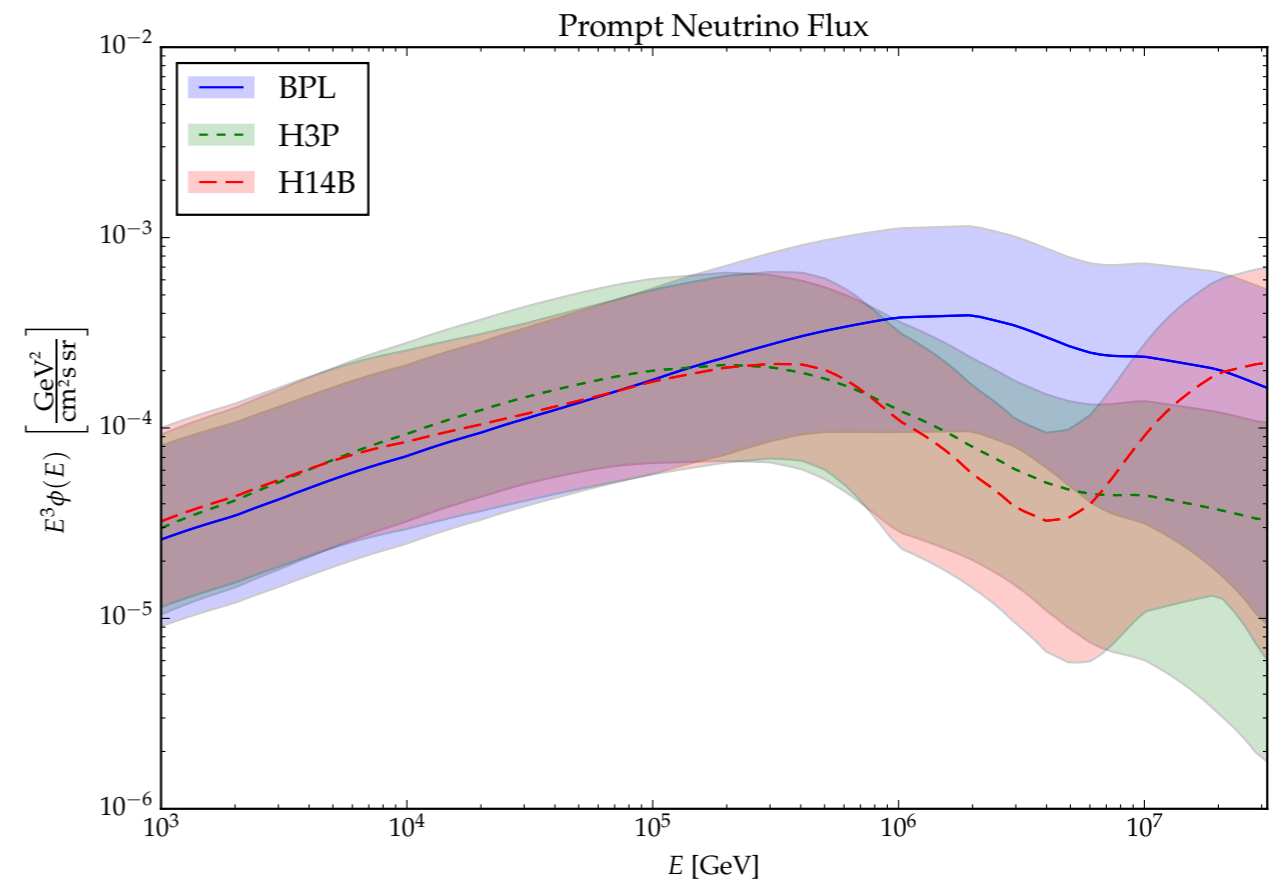
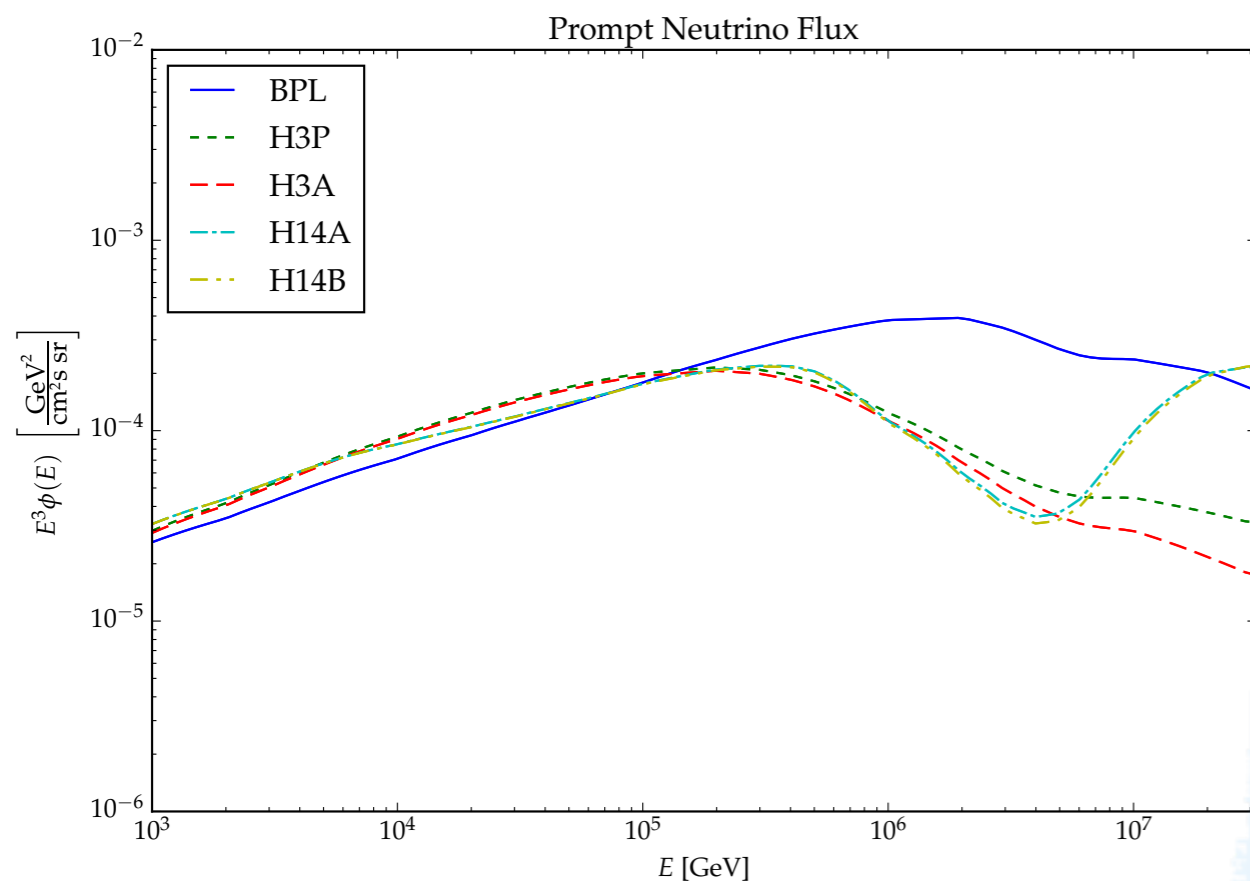
The differential cross-section is generated at various E' between 10^3 and 10^{10} GeV with **POWHEG+PYTHIA8**, and incorporates our updated **NNPDF3.0+LHCb** ... Cross-checks made with **aMC@NLO**

We perform an **interpolation** over E_{inc} and E_h .



Benchmark NNPDF3.0+LHCb flux

We present the following predictions for **prompt atmospheric neutrino flux** adopting the broken power-law (BPL) as well as H3A and H3P cosmic-ray spectra

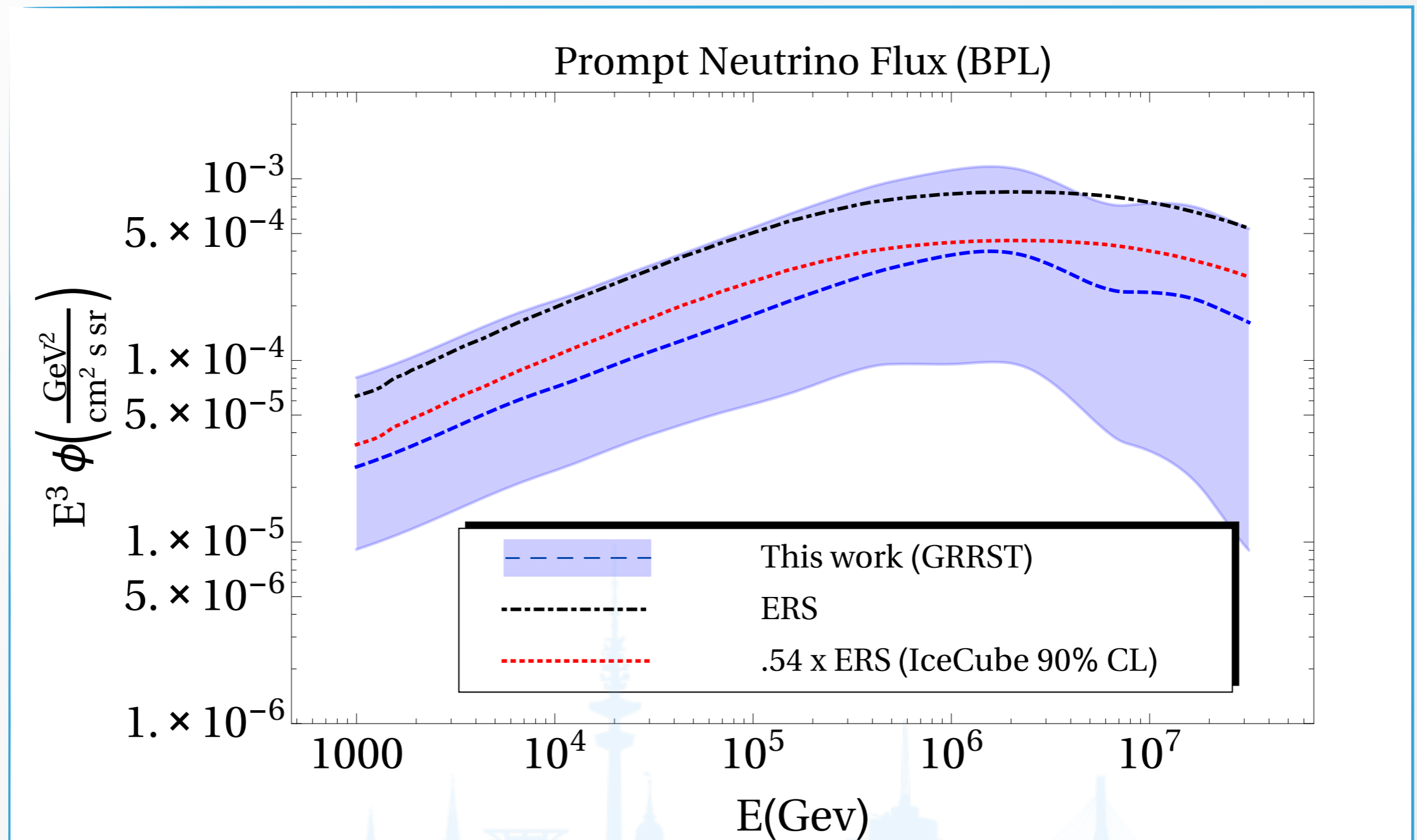


Scale, PDF, and charm mass uncertainty

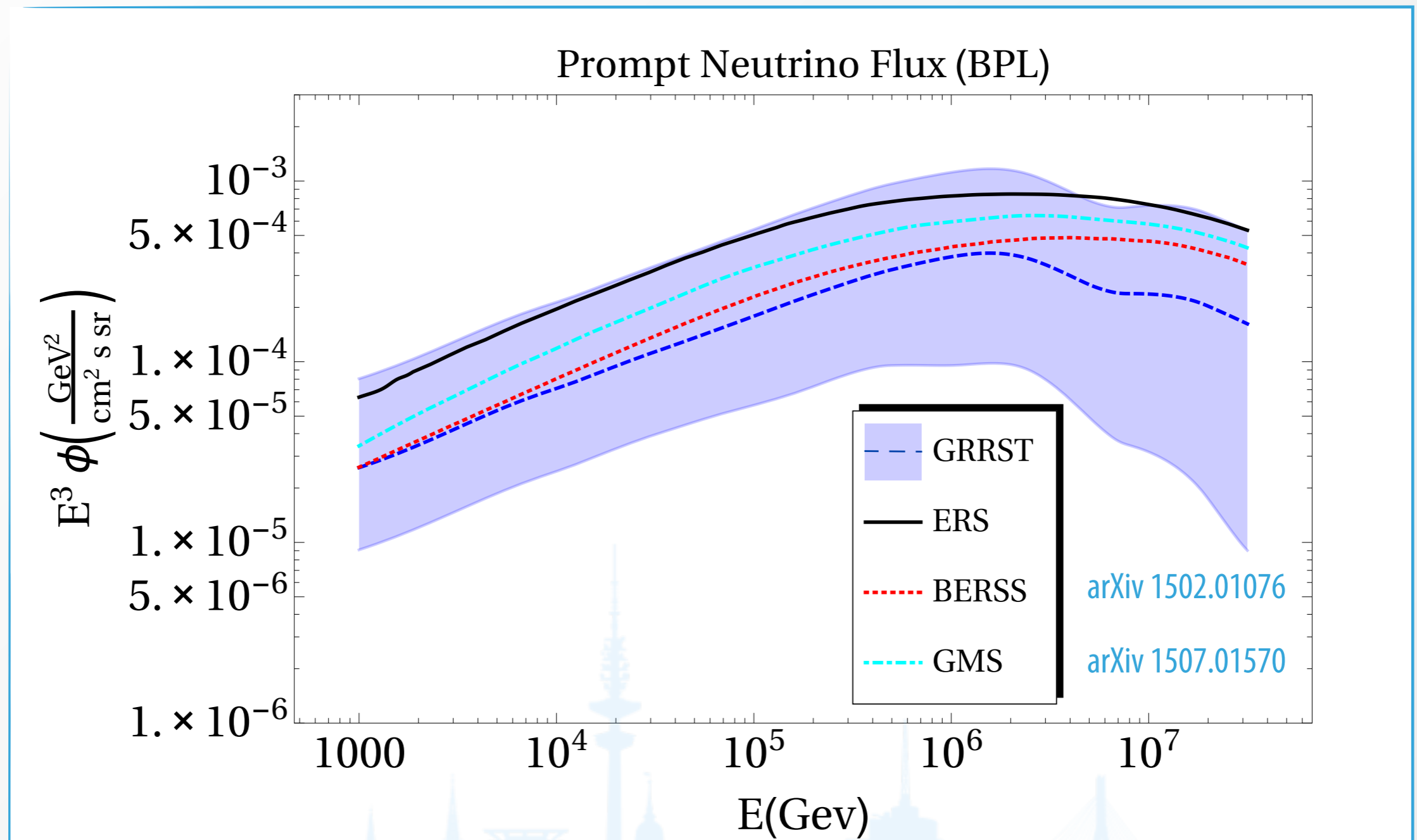
Different cosmic ray spectrum parameterisations

➔ **significant differences in the expected flux above $\sim 10^6$ GeV**

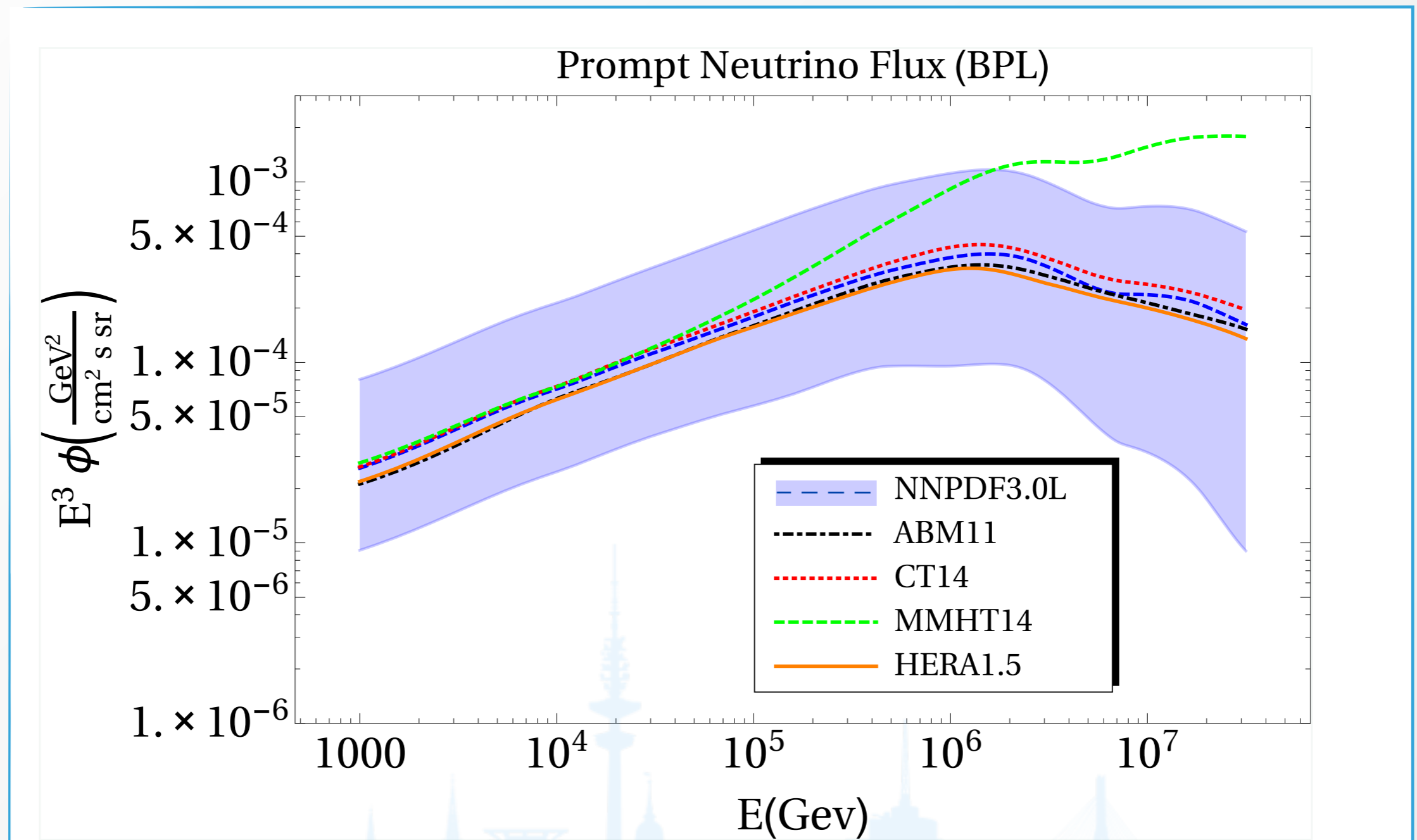
Consistency with IceCube bounds



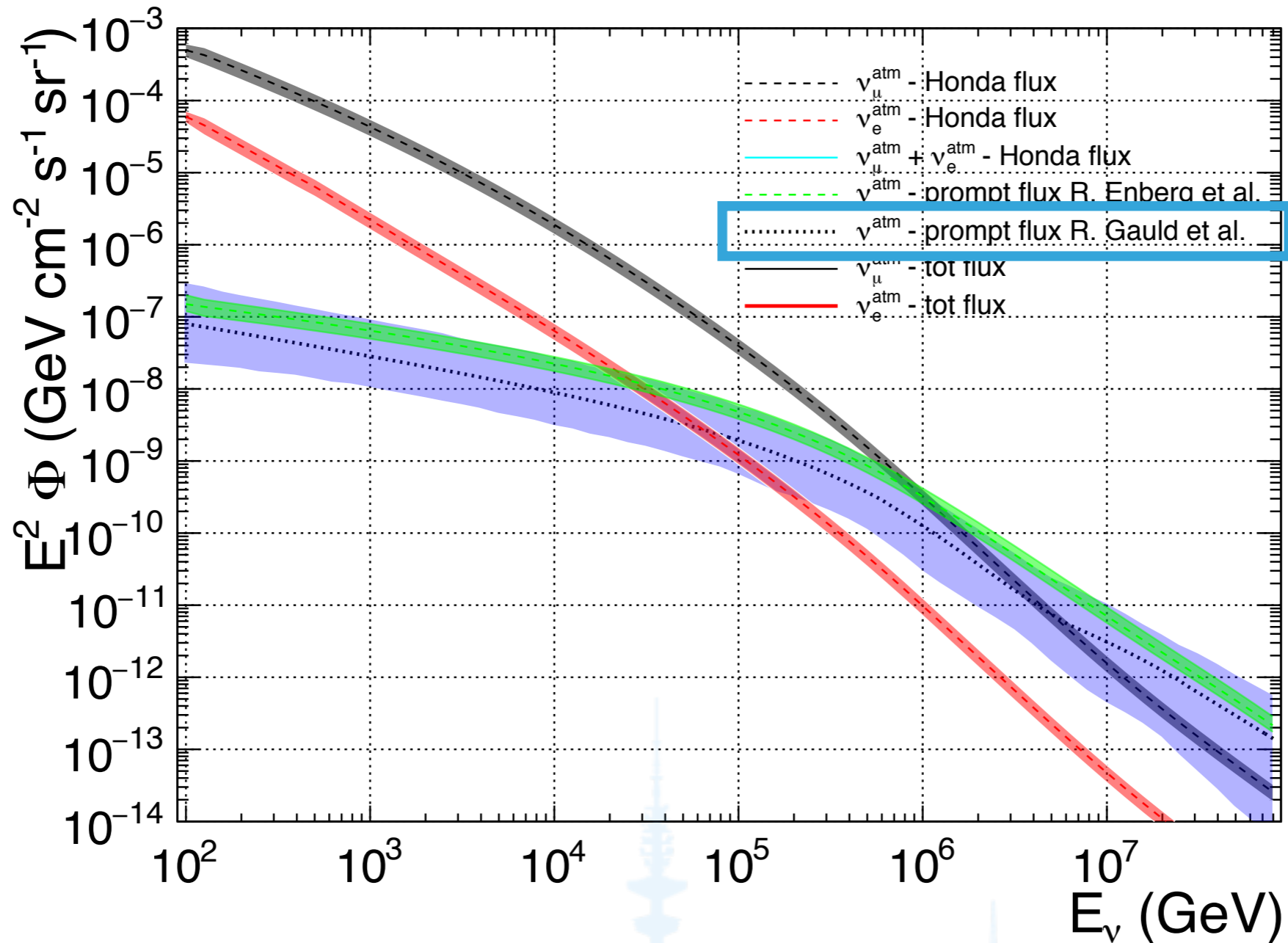
Consistency with previous calculations



Input PDF dependency



Response from the astrophysics community



KM3nET Letter of Intent

arxiv.org/1601.07459

Conclusions

We have presented updated predictions for the flux of **prompt atmospheric neutrinos** at ground-based detectors.

Our approach is grounded in **perturbative QCD**, and incorporates:

1. State-of-the-art calculation of **charmed hadron production** in the **forward region**, validated against recent LHCb measurements
2. A **small-x gluon PDF** which is also constrained by **LHCb data**

Our estimates are consistent with previous studies but provide a **more reliable estimate of uncertainties** and alleviate the tension between the previous benchmark (ERS) calculation and IceCube data

The prompt flux should be seen soon (and provide a probe of low-x QCD)

Back-up



Previous calculations

- **Volkova**, Sov. J. Nucl. Physics 12 (1980) 784
- **Bugaev, Naumov, Sinegovksy, Zaslavskaya**, Il Nuovo Cimento C 12 (1989) 41
- **Lipari**, Astroparticle Physics 1 (1993) 195
- **Thunman, Ingelman, Gondolo (TIG)**, Astroparticle Physics 5 (1993) 309
- **Pasquali, Reno, Sarcevic (PRS)**, Physical Review D59 (1999) 034020
- **Gelmini, Gondolo, Varieschi (GGV1)**, Physical Review D61 (2000) 036005
- **Gelmini, Gondolo, Varieschi (GGV2)**, Physical Review D61 (2000) 056011
- **Martin, Ryskin, Stasto (MRS)**, Acta Physica Polonica B34 (2003) 3273
- **Enberg, Reno, Sarcevic (ERS)**, Physical Review D78 (2008) 043005
- **Bhattacharya, Enberg, Reno, Sarcevic, Stasto (BERSS)**, JHEP 1506 (2015) 110
- **Garzelli, Moch, Sigl (GMS)**, JHEP 1510 (2015) 115

Calculating the prompt flux of atmospheric neutrinos requires a synthesis of QCD, atmospheric physics, and neutrino physics

Prompt vs. conventional flux

The energy spectrum from semi-leptonic decay products depends on a hadronic '**critical energy**', *below* which the **decay probability** is $>$ **interaction probability**:

$$\epsilon_h = \frac{m_h c^2 h_0}{c \tau_h \cos \theta} \quad \begin{array}{l} \epsilon_{\pi^\pm} = 115 \text{ [GeV]} \\ \epsilon_{K^\pm} = 850 \text{ [GeV]} \end{array}$$

For **pions & kaons**, this critical energy is low (decay length is long) hence the leptonic energy spectrum is soft. For **charmed mesons**, the critical energy is high ... they **decay promptly** to highly energetic leptons

$$\epsilon_{D^0} = 9.71 \times 10^7 \text{ [GeV]}$$

$$\epsilon_{D^\pm} = 3.84 \times 10^7 \text{ [GeV]}$$

$$\epsilon_{D_s^\pm} = 8.40 \times 10^7 \text{ [GeV]}$$

$$\epsilon_{\Lambda_c} = 24.4 \times 10^7 \text{ [GeV]}$$

The atmospheric neutrino flux from the decay of pions & kaons is the **conventional flux**, whereas that from charm decay is called the **prompt flux**

Tracing a particle through the atmosphere

The flux of particle j can be generically written as:

$$\frac{d\phi_j}{dX} = -\frac{\phi_j}{\lambda_j} - \frac{\phi_j}{\lambda_j^{dec}} + \sum S(k \rightarrow j)$$

This depends on the **slant depth** X measuring the atmosphere traversed:

$$X(l, \theta) = \int_l^\infty \rho(H(l', \theta)) dl' \quad H(l, \theta) \simeq l \cos \theta + \frac{l^2}{2R_0} \sin^2 \theta$$

We adopt a simple **isothermal model** of the atmosphere:

$$\rho(H) = \rho_0 e^{-\frac{H}{H_0}} \quad \rho_0 = 2.03 \times 10^{-3} \left[\frac{g}{cm^3} \right]$$

$$H_0 = 6.4 \text{ [km]}$$

Such that sample values of X are:

$$X = 0 \left[\frac{g}{cm^2} \right] \text{ (space)}$$

$$X = 1300 \left[\frac{g}{cm^2} \right] \text{ } (\theta = 0)$$

$$X = \infty \left[\frac{g}{cm^2} \right] \text{ (ground)}$$

$$X = 36000 \left[\frac{g}{cm^2} \right] \text{ } (\theta = \frac{\pi}{2})$$

Atmospheric hadron flux

$$\frac{d\phi_h}{dX} = -\frac{\phi_h}{\rho d_h(E)} - \frac{\phi_h}{\lambda_h} + Z_{hh} \frac{\phi_h}{\lambda_h} + Z_{ph} \frac{\phi_p}{\lambda_p}$$

In the low energy limit, the probability for hadron interaction is minimal, and thus we **neglect the interaction and regeneration terms**:

$$\phi_h|_{low} = \frac{Z_{ph}}{\Lambda_p(1 - Z_{pp})} \rho d_h \phi_p(E) e^{-\frac{X}{\Lambda_p}}$$

At high energies the decay length becomes large, hence we **neglect the decay term**:

$$\phi_h|_{high} = \frac{Z_{ph} \phi_p(E)}{(1 - Z_{pp})} \frac{(e^{-\frac{X}{\Lambda_h}} - e^{-\frac{X}{\Lambda_p}})}{(1 - \frac{\Lambda_p}{\Lambda_h})}$$

These solutions then **feed into asymptotic solutions for the final leptonic flux** (note that the low-energy solution scales with an additional power of E):

$$\begin{array}{ll} \text{high} & \phi_h \propto \phi_p \\ \text{low} & \phi_h \propto E \phi_p \end{array}$$

Cascade Formalism: Sources & Z-moments

$$S(k \rightarrow j) = \int_E^\infty \frac{\phi_k(E'_k)}{\lambda_k(E'_k)} \frac{dn(k \rightarrow j; E', E)}{dE} dE'$$

Under reasonable assumptions, the S-moments simplify:

$$S(k \rightarrow j) = \frac{\phi_k}{\lambda_k} Z_{kj}$$

For particle **production**:

$$Z_{kh} = \int_E^\infty dE' \frac{\phi_k(E', X, \theta)}{\phi_k(E, X, \theta)} \frac{\lambda_k(E)}{\lambda_k(E')} \frac{dn(kA \rightarrow hY; E', E)}{dE} = \frac{dn(pA \rightarrow hY; E', E)}{dE} = \frac{1}{\sigma_{pA}(E')} \frac{d\sigma(pA \rightarrow hY; E', E)}{dE}$$

For particle **decay**:

$$Z_{h \rightarrow l} = \int_E^\infty dE' \frac{\phi_h(E', X)}{\phi_h(E, X)} \frac{d_h(E)}{d_h(E')} \frac{dn(h \rightarrow lY; E', E)}{dE} = \frac{dn(h \rightarrow lY; E', E)}{dE} = \frac{1}{\Gamma} \frac{d\Gamma}{dE}$$

Atmospheric Nucleon Flux

$$\frac{d\phi_N}{dX} = -\frac{\phi_N}{\lambda_N} + S(NA \rightarrow NY) = -\frac{\phi_N}{\lambda_N} + Z_{NN} \frac{\phi_N}{\lambda_N}$$

Assume a **factorisation** of fluxes $\longrightarrow \phi_k(E, X) = \phi_k(E)\phi_k(X)$

Define the **interaction** length $\longrightarrow \lambda_N(E) = \frac{A}{N_0\sigma_{pA}(E)}$

Define the **attenuation** length $\longrightarrow \Lambda_N = \frac{\lambda_N}{(1-Z_{NN})}$

$$\frac{d\phi_N}{dX} = \frac{\phi_N}{\lambda_N} (Z_{NN} - 1) \rightarrow \frac{d\phi_N}{dX} + \frac{\phi_N}{\lambda_N} (1 - Z_{NN}) = 0$$



$$\phi_N = \phi_N^0(E) e^{-\frac{X}{\Lambda_N}}$$

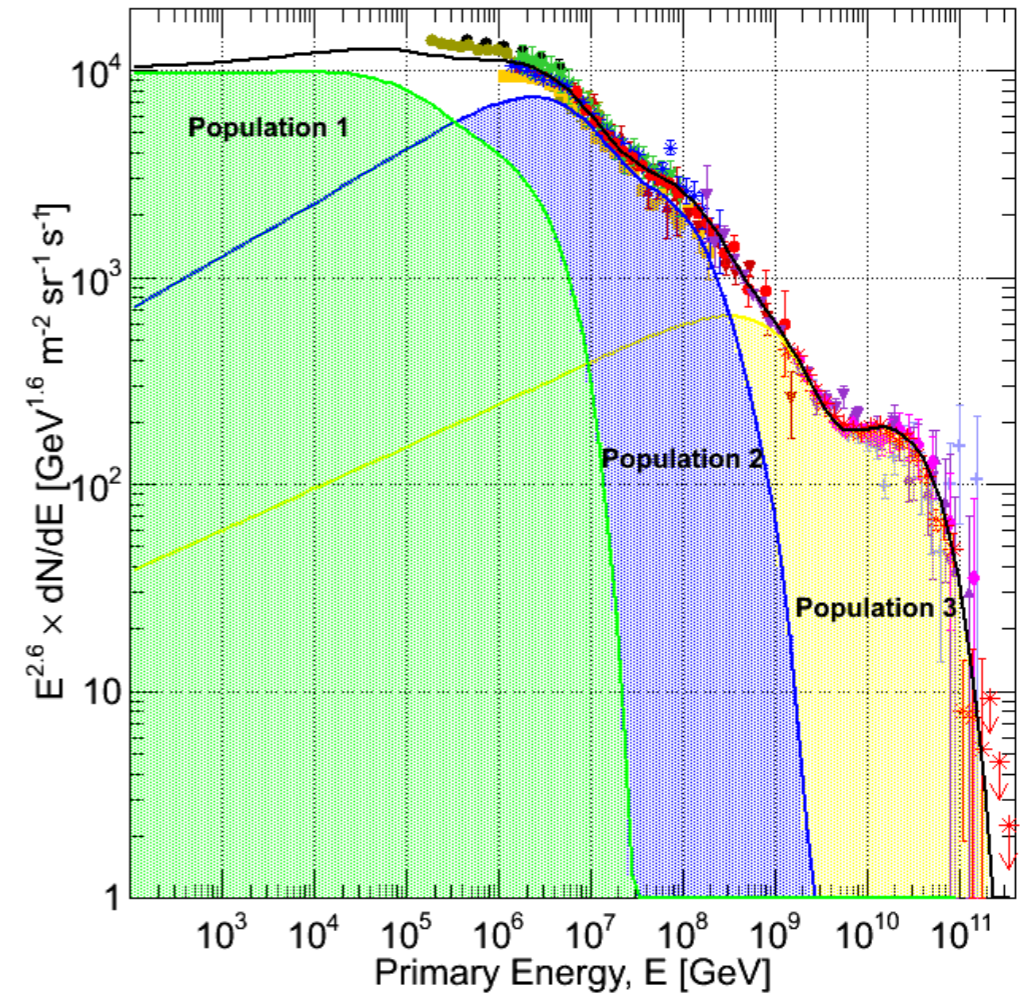
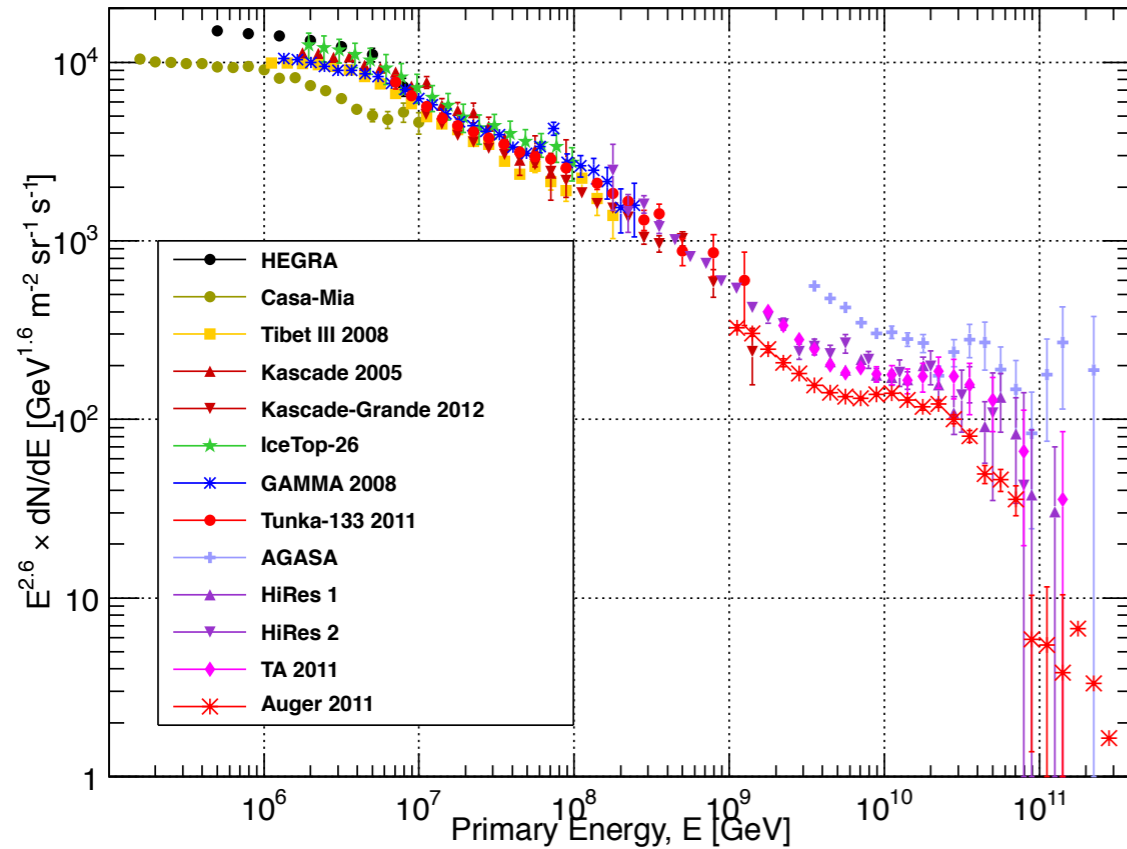
What constitutes this primary nucleon flux?

Gaisser et al. fluxes:

$$\phi_N^0(E)$$

arXiv:astro-ph/1111.6675

arXiv:astro-ph/1303.3565

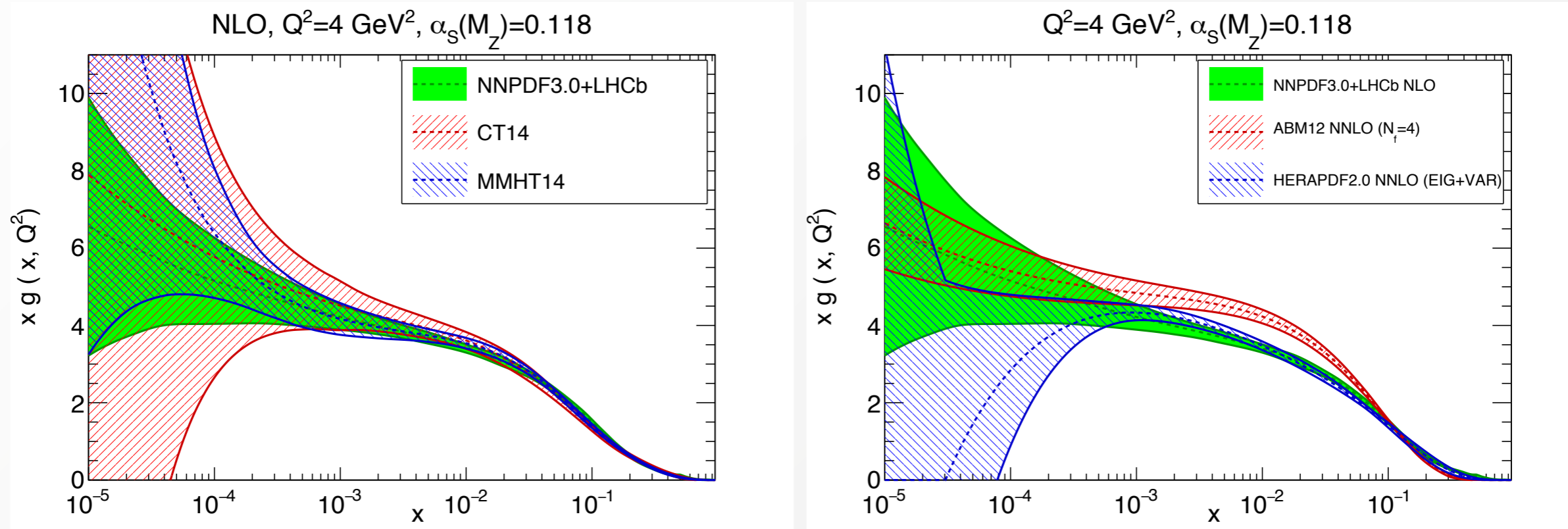


	p	He	CNO	Mg-Si	Fe
Pop. 1:	7860	3550	2200	1430	2120
$R_c = 4$ PV	1.66	1.58	1.63	1.67	1.63
Pop. 2:	20	20	13.4	13.4	13.4
$R_c = 30$ PV	1.4	1.4	1.4	1.4	1.4
Pop. 3:	1.7	1.7	1.14	1.14	1.14
$R_c = 2$ EV	1.4	1.4	1.4	1.4	1.4
Pop. 3(*):	200	0.0	0.0	0.0	0.0
$R_c = 60$ EV	1.6				

$$\phi_i(E) = \sum_{j=1}^3 a_{i,j} E^{-\gamma_{i,j}} \times \exp \left[-\frac{E}{Z_i R_{c,j}} \right]$$

Input PDF dependency

arXiv: 1506.08025

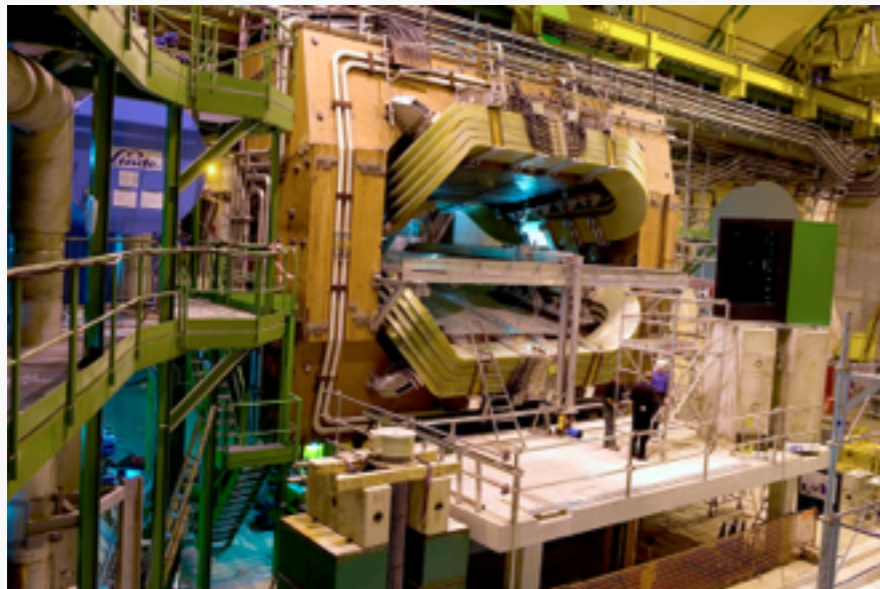
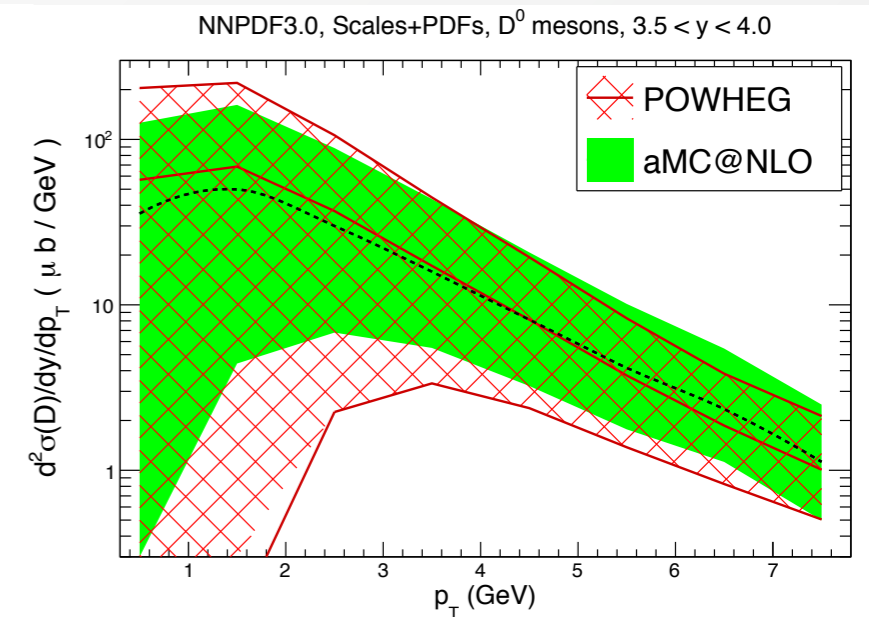
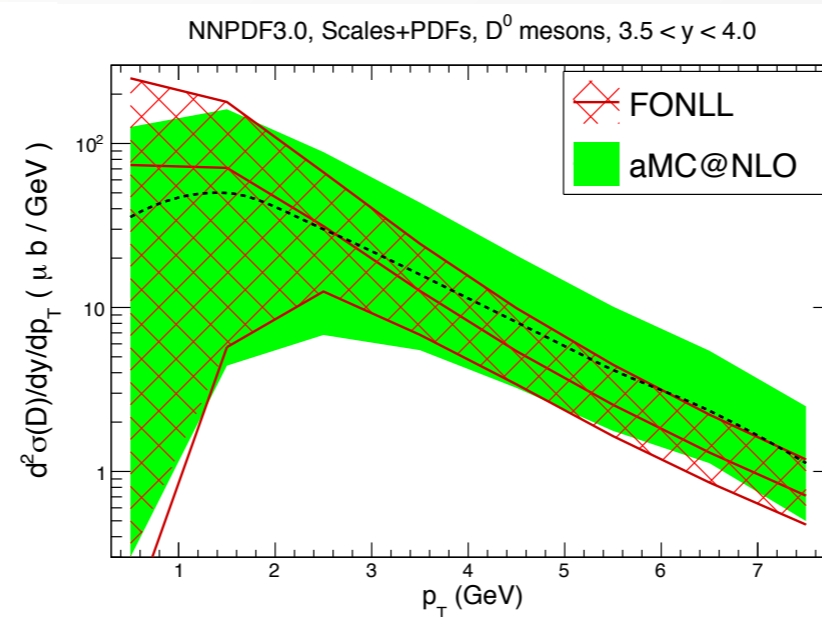
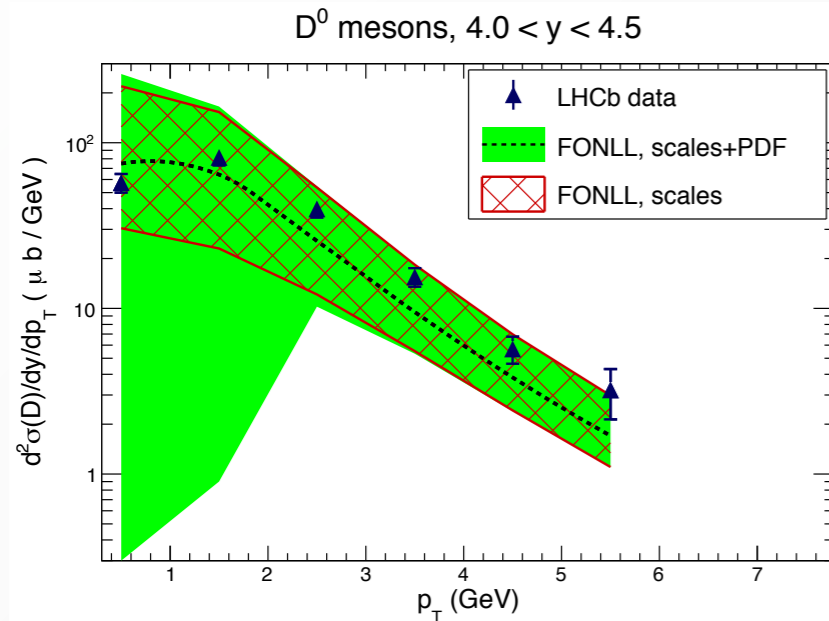


Evaluations of charm production utilising multiple input PDFs, including our updated NNPDF3.0+LHCb, indicate **substantive differences in the small- x region.**

This will trace through our calculation of the prompt atmospheric neutrino flux and lead to qualitative differences in the high-energy tail.

We are thus evaluating final uncertainties utilising **multiple input PDFs.**

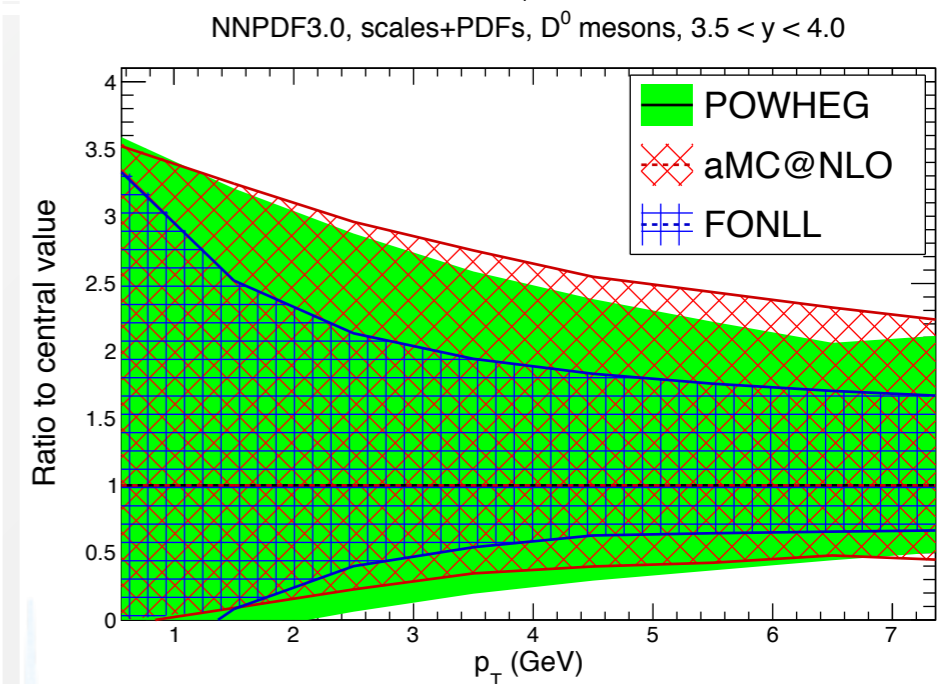
Forward Charm Production & LHCb



$$\sqrt{s} = 7 [TeV]$$

arXiv:1506.08025

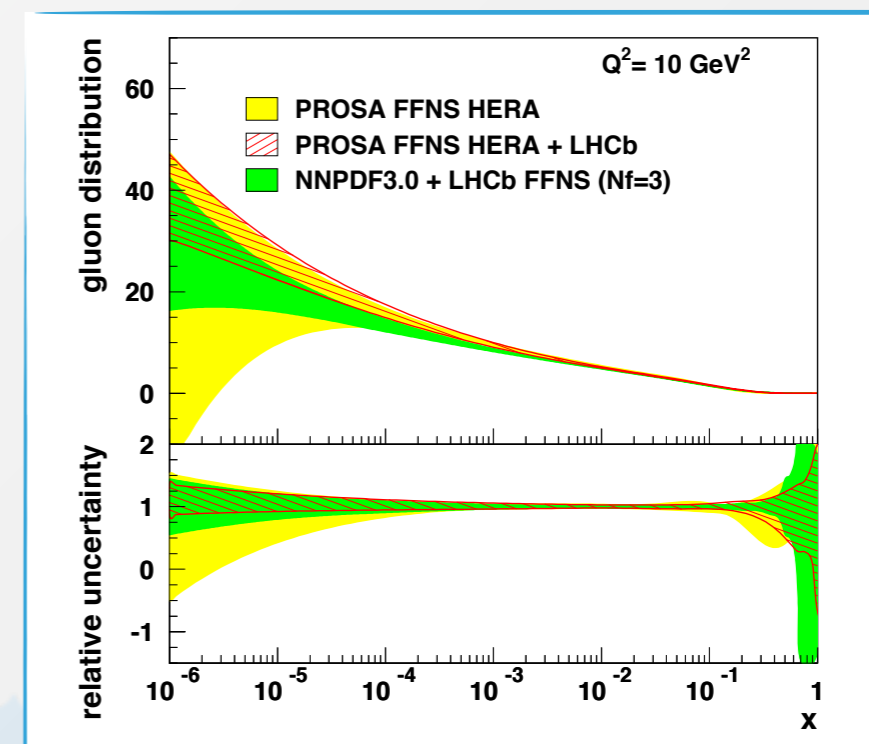
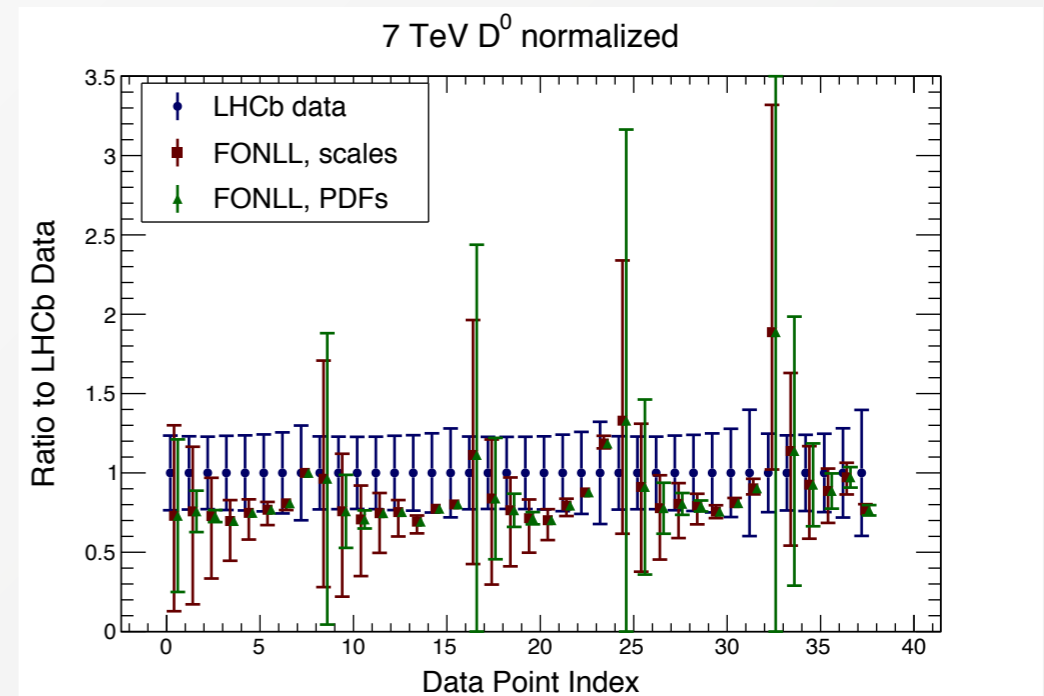
arXiv:1302.2864 (LHCb)



We first **validate our NLO predictions** for forward charm production against recent LHCb data ... finding **good agreement** between the 3 calculation schemes

Small- x Gluon NNPDF: LHCb constraints

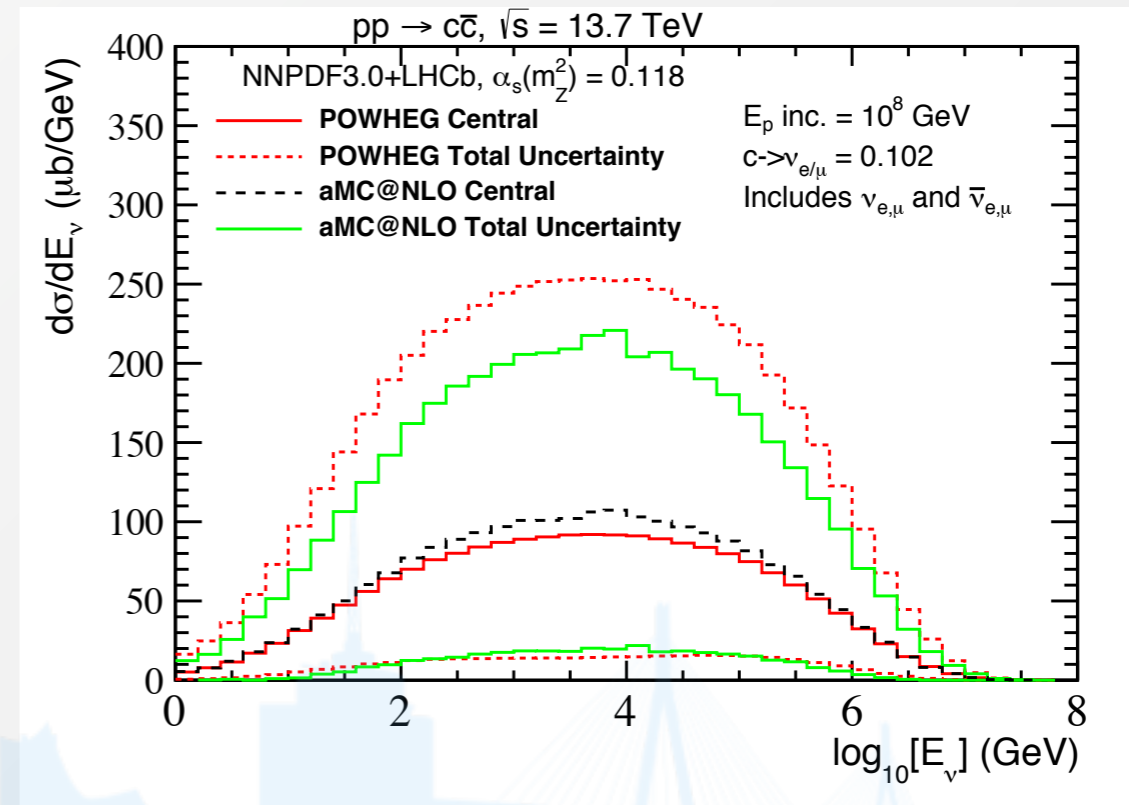
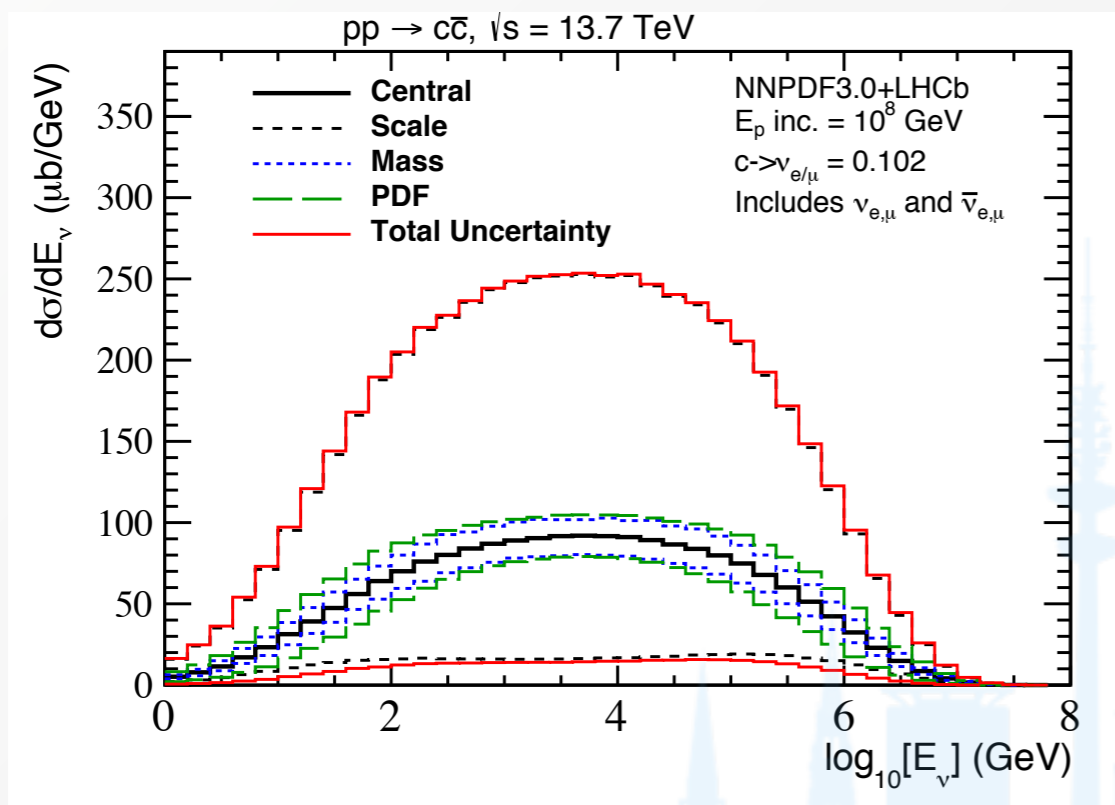
- We utilize charm production data from LHCb to **reduce the uncertainties in the small- x gluon PDF**
- Similar strategy as the one used by the **PROSA** collaboration in the HERAFitter framework
- By using a **Bayesian re-weighting technique**, the impact of the new data is estimated. 75 data points added to NNPDF3.0 analysis
- The impact is negligible for $x > 10^{-4}$, but substantive in the smaller- x region where data was previously unavailable. At $x \sim 10^{-5}$, we achieve a **3x reduction in uncertainty**
- We utilize these improved PDFs to make **predictions for 13 TeV physics**



Our principal new result: Z_{ph}

$$Z_{ph} = \int_E^\infty dE' \frac{\phi_p(E')}{\phi_p(E)} \frac{A}{\sigma_{pA}(E)} \frac{d\sigma(pp \rightarrow c\bar{c}Y; E', E)}{dE}$$

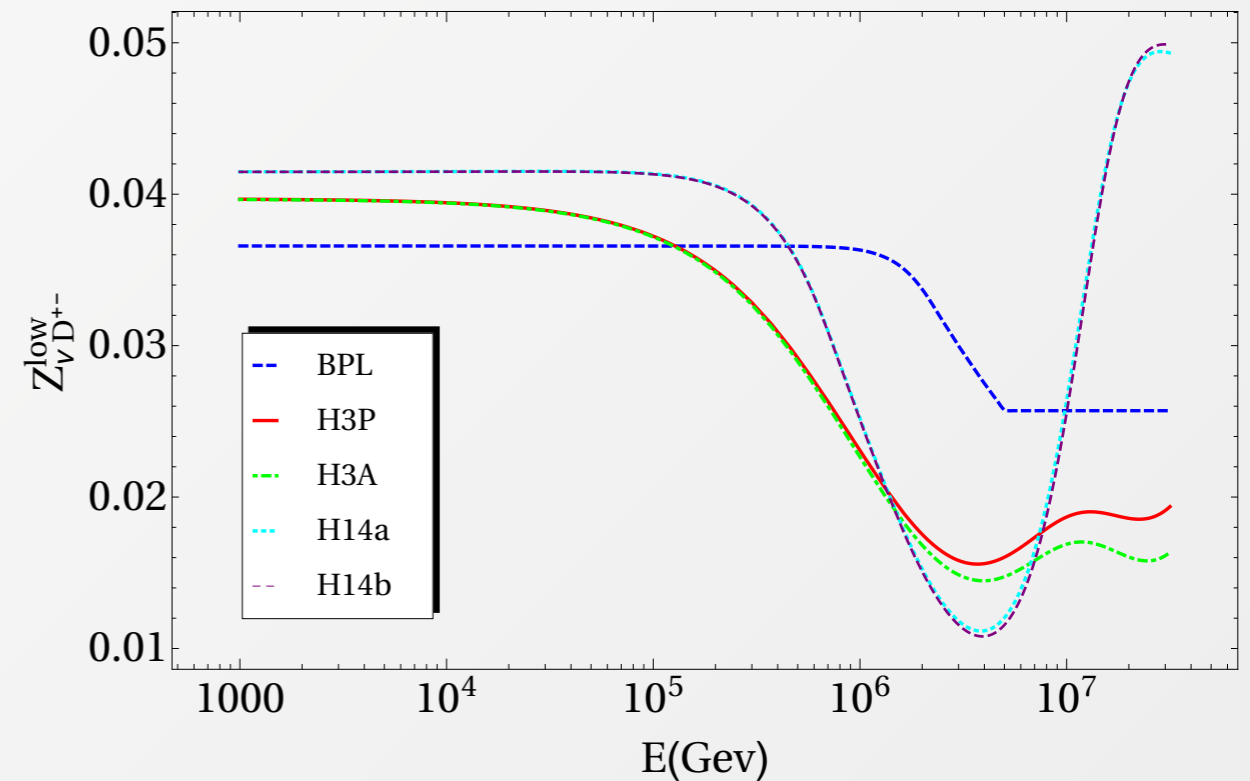
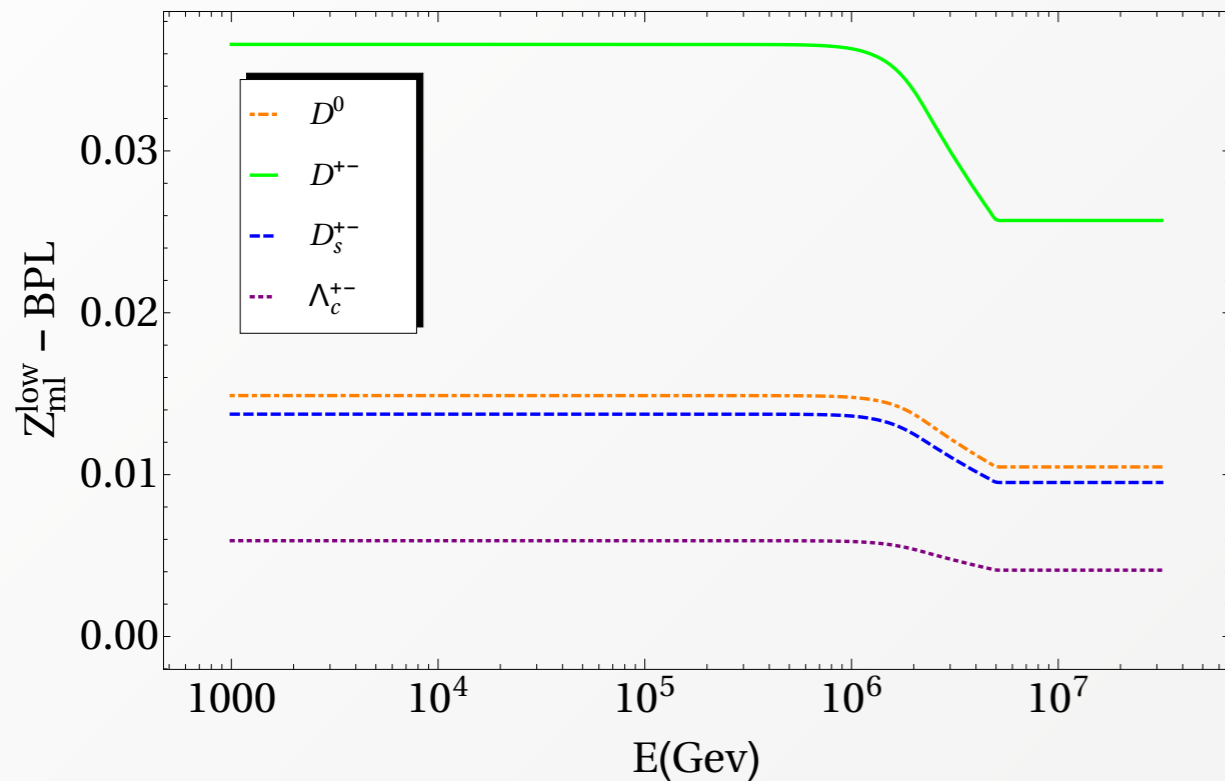
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arXiv: 1506.08025

Decay moments: $Z_{h \rightarrow l}$

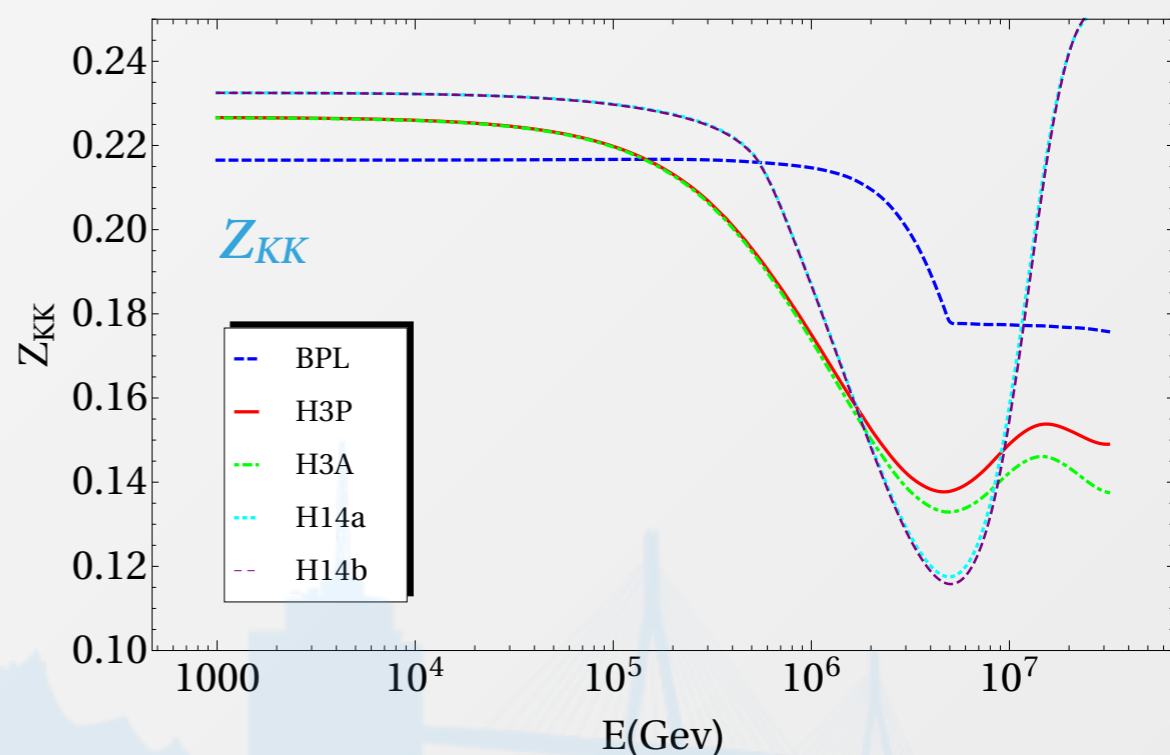
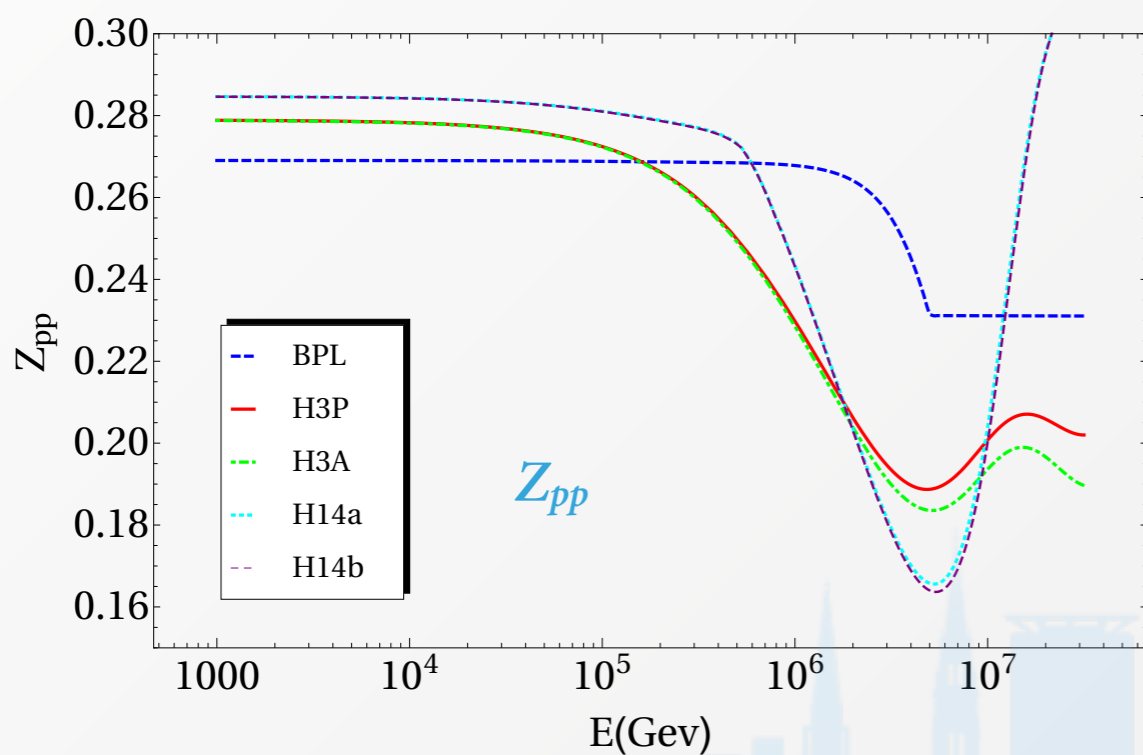
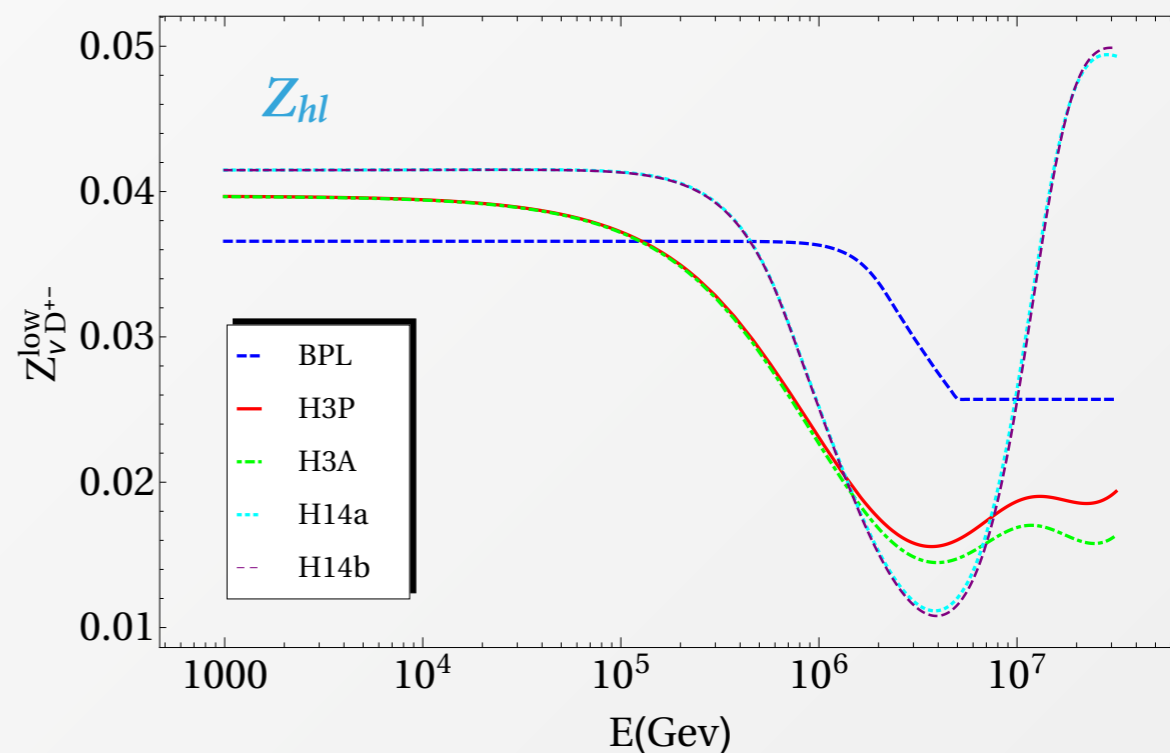
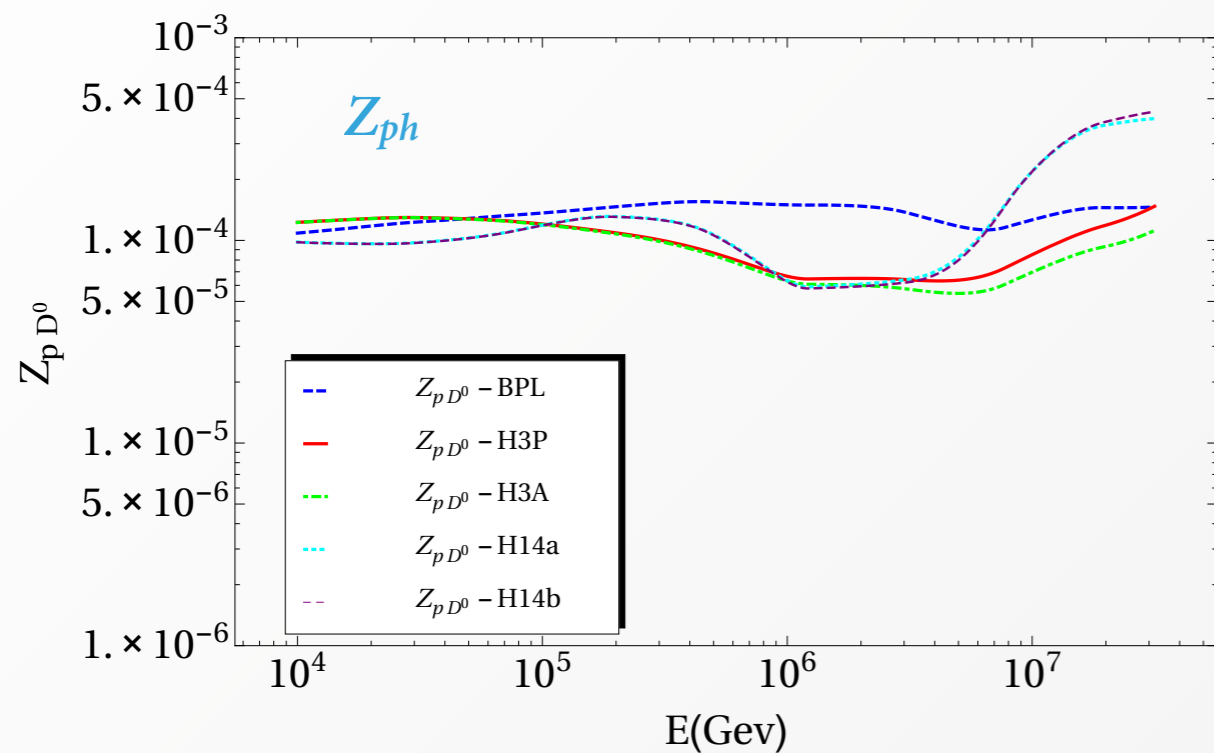
$$Z_{h \rightarrow l} = \int_E^\infty dE' \frac{\phi_h(E', X)}{\phi_h(E, X)} \frac{d_h(E)}{d_h(E')} \frac{dn(h \rightarrow lY; E', E)}{dE}$$



The relative contributions of different species in the BPL cosmic ray scenario.

The relative contributions of the D^+ species in varying cosmic ray scenarios.

Stitching things together...



Decay moments: $Z_{h \rightarrow l}$

$$Z_{h \rightarrow l} = \int_E^\infty dE' \frac{\phi_h(E', X)}{\phi_h(E, X)} \frac{d_h(E)}{d_h(E')} \frac{dn(h \rightarrow lY; E', E)}{dE}$$

The distribution for leptonic decay is known to obey the simple scaling law:

$$dn(h \rightarrow lY; E', E) = F_{h \rightarrow l} \left(\frac{E}{E'} \right) \frac{dE}{E'}$$

The moment then simplifies, and we generate F with **POWHEG**:

$$Z_{h \rightarrow l} = \int_0^1 dx_E \frac{\phi_h(E/x_E)}{\phi_h(E)} F_{h \rightarrow l}(x_E)$$

The following branching fractions are built into our decay moments:

$$\mathcal{B}(D^\pm \rightarrow \nu_l X) = .153$$

$$\mathcal{B}(D^0 \rightarrow \nu_l X) = .101$$

$$\mathcal{B}(D_s^\pm \rightarrow \nu_l X) = .06$$

$$\mathcal{B}(\Lambda_c \rightarrow \nu_l X) = .02$$